



PrimusLabs Audit (146773) Harvest Crew v07.04

Harvest Company(s)

Santa Rosa Produce

Contact: [Cindi Pearson](#)
Address: 9254 N. Ralston Rd.
Maricopa Arizona 85239
United States
Phone Number: 520-568-9456
Email(s): cindi@prodigy.net

Customer(s)

Santa Rosa Produce

Contact: [Cindi Pearson](#)
Address: 9254 N. Ralston Rd.
Maricopa Arizona 85239
United States
Phone Number: 520-568-9456 Ext: None
Email(s): cindi@prodigy.net

Grower(s)

Santa Rosa Produce

Contact: [Cindi Pearson](#)
Address: 9254 North Ralston Rd. Maricopa Az. 85239
Maricopa Arizona 85239
United States
Phone Number: 520 568 94 56
Email(s): cindi@prodigy.net

Ranch(s)

Cox

Contact: [Cindi Pearson](#)
Address: .
Maricopa Arizona 85239
United States
Phone Number: 602-266-7994
Email(s): Cindi@prodigy.net

Audit Type:

Harvest Crew

Foreman or Contact Person (and email):

Armando Garcia

Crew Name Or Number:

No. 76

Location/City:

Maricopa

State:

Arizona

Country:

United States



Date Audit Started:	06/02/2011 08:00
Date Audit Finished:	06/02/2011 14:00
Commodity(ies):	Cantaloupe, Honeydew
Auditor:	<u>Gustavo Adolfo Tamayo Reyes</u> (Tamayo, Gustavo – Subcontractor)
Audit Percentage Score:	95%
Scoring Category:	95–100% Superior
Announced Audit?	Yes
Audit Scope:	Harvest crew audit.
Audit Entered By:	Gustavo Tamayo
Other Auditing Personnel And Role:	None

Additional Report Links

[Report and Corrective Action Summary](#)

[See The Result Comparison](#)

[See The Audited Entity History](#)

Corrective Actions Response

Note: This link must be used in order to notify that you are taking action in the corrective measures for questions that were not in full compliance.

Sections:

[Inspection](#)

[Employee Activities & Sanitary Facilities](#)

[Harvest Practices](#)

[Transportation and Tracking](#)

[On Site Storage](#)

[Food Security](#)

Inspection							
Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
01.01	Have self-audits been completed for each harvest crew?	Yes	5	5		Yes, self audits have been conducted and documented.	
01.02	Was a pre-harvest inspection performed on the block being harvested and was the block cleared for harvest? If NO, go to 1.3.	Yes	5	5		Yes.	
01.02a	Where pre-harvest inspections have discovered issues, have buffer zones been clearly identified and at the time of the audit, are these buffer zones being respected?	N/A	0	0		N/A, no issues have been discovered.	
01.03	Are there records of daily pre-operation inspections that check key aspects of equipment hygiene, personal hygiene, etc.?	Yes	5	5		Yes, daily pre-operation inspection records are maintained.	

01.04	Is there a documented and implemented policy that when commodities are dropped on the ground they are discarded? (Non-applicable for commodities such as tubers, root crops, etc.)	Yes	5	5	Yes.
01.05	Is there a food safety hygiene training program covering new and existing employees and are records of these training events?	Yes	15	15	Yes, food safety training records are on file.
01.06	Is there is a documented and implemented policy stating what happens when harvesters find evidence of animal intrusion e.g. fecal material?	Yes	5	5	Yes.

Employee Activities & Sanitary Facilities

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
02.01	Are there any employees observed with improperly covered open wounds?	No	5	5			
02.02	Are any employees eating and drinking (other than water) in active harvest areas, areas yet to be harvested, near harvested product or storage areas?	No	5	5			
02.03	Are any employees using tobacco products in active harvest areas, areas yet to be harvested, near harvested product or storage areas?	No	5	5			
02.04	Is it evident the clothing harvesters are wearing is not posing a cross contamination risks?	Yes	5	5			
02.05	Is it evident that employees are free of exposed jewelry (that may pose a foreign contamination issue) except for a single plain ring?	Yes	5	5			
02.06	Where gloves are required by the auditee, are they appropriate for the type of harvesting (i.e., not using cotton gloves for harvesting a product such as lettuce) and are they in good working order?	Yes	5	5			
02.06a	Where gloves are used, are they latex-free?	Yes	0	0			
02.07	If any protective clothing is required by the auditee (e.g., gloves, aprons, sleeves) are they removed prior to using restrooms, going on breaks,	N/A	0	0		N/A, protective clothing is not required.	

	etc?					
02.07a	Are secondary hand sanitation stations (e.g., hand dip, gel or spray stations) adequate in number and location? Are the stations maintained properly? NOTE: Secondary hand sanitation does not replace hand washing requirements (lack surfactant qualities).	No	0	5	Secondary hand sanitation stations (non-perfumed) should be located near hand washing and other easily accessible areas. Secondary hand sanitizers are optional for crops with an inedible skin or a commodity that requires cooking prior to eating. Hand gel / spray stations should be well stocked. Hand dips where used should be tested regularly to ensure they are at the required strength – checks should be recorded. Secondary hand sanitizers lack surfactant qualities, therefore does not replace hand washing requirements.	No, there are no secondary hand sanitation stations.
02.08	Are there operational toilet facilities provided? If this question is answered No, the audit will result in an automatic failure. If No, go to 2.9	Yes	20	20		Yes, operational toilet units are provided.
02.08a	Are toilet facilities located in a suitable area and within ¼ mile or 5 minutes walking distance of all employees?	Yes	10	10		
02.08b	Are toilet facilities in a suitable location to prevent contamination to product, packaging, equipment, and growing areas?	Yes	15	15		
02.08c	Are separate toilet facilities provided for men and women in groups larger than 5 employees?	Yes	5	5		
02.08d	Is a minimum of one toilet facility provided for each group of 20 employees?	Yes	10	10		Yes, toilets are adequate in number and location.
02.08e	Do toilet facilities have visuals or signs, written in the appropriate languages, reminding employees to wash their hands before returning to work?	Yes	5	5		
02.08f	Are toilets supplied with toilet paper and is the toilet paper maintained properly (e.g. toilet paper rolls not stored on the floor or in the urinals)?	Yes	5	5		
02.08g	Are the toilets maintained in a clean condition?	Yes	10	10		
02.08h	Are toilets constructed of materials that are easy to clean?	Yes	2	2		

02.08i	Are the toilet's construction materials of a light color allowing easy evaluation of cleaning performance?	Yes	2	2	
02.08j	Is there a documented and implemented policy that if portable toilets are used, waste is disposed of properly and the units are cleaned in an appropriate location?	Yes	5	5	
02.08k	Are there toilet cleaning records and for portable toilets, are there servicing records?	Yes	2	2	
02.08l	If used, are catch basins of the toilets designed and maintained to prevent contamination (e.g. free from leaks and cracks)?	Yes	5	5	
02.08m	Are the toilet catch basins emptied properly?	Yes	5	5	
02.09	Is there evidence of human fecal contamination in the growing area? If this Yes, an automatic failure of the audit will occur.	No	20	20	No, no fecal contamination issues observed.
02.10	Are operational hand washing facilities provided? If No, go to 2.11, If this question is answered No, an automatic failure of the audit will occur.	Yes	20	20	Yes, hand washing stations are provided.
02.10a	Are the hand washing facilities placed within ¼ mile or 5 minutes walking distance of all employees?	Yes	15	15	
02.10b	Are hand wash stations clearly visible (e.g. situated outside the toilet facility) and easily accessible to workers?	Yes	2	2	
02.10c	In the event of running out of toilet materials (e.g., water, soap, toilet tissue, hand paper towels) are there extra supplies readily available so that toilets can be restocked quickly?	Yes	5	5	
02.10d	Is soap made available at all hand washing facilities? If No, go to 2.10f.	Yes	10	10	
02.10e	Is non-perfumed soap available?	Yes	5	5	

02.10f	Are single-use towels available at all hand washing facilities and trash cans for them?	Yes	10	10	
02.10g	Are the hand wash stations designed and maintained properly (e.g. ability to capture or control rinse water to prevent contamination onto product, packaging, and growing area, free of clogged drains, etc)?	Yes	5	5	
02.10h	Are the employees washing their hands prior to beginning work? Score NA if this discipline is not observed at the time of the audit.	N/A	0	0	N/A, this discipline not witnessed by the auditor.
02.10i	Are the employees washing their hands after break periods? Score NA if this discipline is not observed at the time of the audit.	Yes	10	10	Yes, employees seen washing their hands after break.
02.10j	Are the employees washing their hands after using the toilet facilities? Score NA if this discipline is not observed at the time of the audit.	Yes	15	15	Yes, this discipline observed.
02.10k	Is it evident that corrective action is taken when employees fail to comply with hand washing guidelines?	Yes	5	5	
02.11	Is fresh potable drinking water readily accessible to employees.? If No, go to 2.12.	Yes	7	7	
02.11a	Are the water containers maintained in a clean condition?	Yes	5	5	
02.11b	Are single use cups provided (unless a drinking fountain is used) made available near the drinking water?	Yes	7	7	
02.12	Are first-aid kits available and is the inventory maintained properly?	Yes	5	5	
02.13	If observed, are all commodities that come in contact with blood destroyed? If this question is answered No, an automatic failure of the audit will occur.	N/A	0	0	N/A, not observed.
02.13a		Yes	5	5	

	Is there a documented and implemented policy and procedure in place and available for all commodities that come in contact with blood to be destroyed?				Yes, blood policy has been developed.
02.14	Is garbage disposed of properly in the harvested and non harvested areas?	Yes	10	10	
02.15	Are garbage containers provided in the field for the disposal of food and beverage containers, cups, and paper towels? If No, go to 2.16.	Yes	5	5	
02.15a	Are garbage containers constructed and maintained (e.g. bags, lids) to protect against pre harvest or post harvest contamination of the crop?	Yes	5	5	
02.16	Have any potential metal, glass, or plastic contamination issues been controlled?	Yes	5	5	

Harvest Practices

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
03.01	Is the crop free of any evidence of systematic animal fecal (e.g. flocking geese, escaped cattle) contamination? If this question is answered NO, this question results in an automatic failure.	Yes	20	20			
03.02	Is there evidence of animal activity in the crop that is a potential food safety risk?	No	20	20			
03.03	Is the product packed in the final packing unit in the field ? If No, go to 3.04.	Yes	0	0			
03.03a	Is packing material (e.g. cartons, bags, clamshells, sacks, RPCs) intended for carrying product used for that purpose only?	Yes	5	5			
03.03b	Is packing material free from evidence of pest activity, foreign materials and other signs of hazardous materials? If this question is answered No, an automatic failure of the audit will occur.	Yes	20	20			
03.03c	Is packed product free from evidence of pest activity, foreign materials,	Yes	20	20			

hazardous materials and any adulteration issues? If this question is answered No, an automatic failure of the audit will occur.

03.03d	Is product and packing material free from exposure to the ground and or any handling contamination?	Yes	5	5
03.03e	Does the operation inspect packaging prior to use and is packed product inspected after packing; where contamination issues are found is corrective action taken and record?	Yes	5	5
03.03f	If packing material is left in the field overnight is it secured and protected?	N/A	0	0
03.04	Are grading and packing tables used? If No, go to 3.05.	No	0	0
03.04a	Does the surface allow for easy sanitation?	N/A	0	0
03.04b	Are grading and packing tables subject to a documented cleaning program including stating the frequency of cleaning and cleaning procedures? If No, go to 3.05.	N/A	0	0
03.04c	Is an anti-microbial solution (e.g. chlorinated or equivalent) used to sanitize the grading and packing tables after cleaning has occurred?	N/A	0	0
03.04d	Are records of grading and packing tables equipment cleaning being maintained?	N/A	0	0
03.05	Are re-useable containers (e.g. buckets, field totes, lugs, bins) used in the harvesting operation? If No, go to 3.06.	No	0	0
03.05a	Are re-useable containers made of easy to clean materials?	N/A	0	0
03.05b	Are re-useable containers subject to a documented cleaning program including stating the frequency of cleaning and cleaning procedures? If No, go to 3.05e.	N/A	0	0
03.05c	Is an anti-microbial solution (e.g. chlorinated or equivalent) used to sanitize the re-useable containers after cleaning has occurred?	N/A	0	0
03.05d	Are records of re-useable containers cleaning being maintained?	N/A	0	0
03.05e	Are re-useable containers free from any handling contamination?	N/A	0	0
03.06	Are tools (e.g. knives, clippers, scissors, etc.) used in harvesting? If No, go to 3.07.	No	0	0

03.06a	Are harvest tools (e.g. knives, coring rings, holsters) being used, made of non corrosive and easy to clean materials (e.g. no wood or fabric parts)?	N/A	0	0	
03.06b	Are harvest tools not being taken into break or toilet areas or used for any other purpose other than product harvesting?	N/A	0	0	
03.06c	Are harvest tools free from exposure to the ground and or any handling contamination?	N/A	0	0	
03.06d	Is there an equipment and utensil (e.g. knives) storage and control procedures when not in use?	N/A	0	0	
03.06e	Are harvest tools subject to a documented cleaning program including stating the frequency of cleaning and cleaning procedures? If No, go to 3.06h.	N/A	0	0	
03.06f	Is an anti-microbial solution (e.g. chlorinated or equivalent) used to sanitize the harvesting tools after cleaning has occurred?	N/A	0	0	
03.06g	Are records of harvesting tools cleaning being maintained?	N/A	0	0	
03.06h	Are harvesting tool dips being maintained properly in terms of anti-microbial solution strength and are records of the solutions checks being maintained? AUDITORS SHOULD REQUIRE A TEST AT THE TIME OF THE AUDIT.	N/A	0	0	
03.07	Is machinery used in the harvesting process? If No, go to 3.08.	Yes	0	0	This includes equipment with the potential to affect product (e.g., conveyor belts, mechanical harvesting units, field packing rigs, coring rigs and any "in-field" processing rigs). Please note that there are some more specific questions for coring rigs and any "in-field" processing rigs in a later section.
03.07a	Are all food contact surfaces on the machinery used in the harvest process constructed of food grade materials or stainless steel?	Yes	5	5	
03.07b	Does the packing surface allow for easy sanitation?	Yes	5	5	
03.07c	Is the harvesting equipment subject to a documented cleaning program including stating the cleaning frequency and cleaning procedures? If No, go to 3.07f.	Yes	5	5	

03.07d	Is an anti-microbial solution (e.g. chlorinated or equivalent) used to sanitize the harvesting equipment after cleaning has occurred?	Yes	5	5
03.07e	Are records of harvesting equipment cleaning being maintained?	Yes	5	5
03.07f	Is equipment designed and used properly to minimize product contamination (e.g. drip pans utilized, lights protected)?	Yes	5	5
03.07g	Are only food grade lubricants used on the critical parts of the harvesting machinery that have the potential to contaminate product?	Yes	3	3
03.07h	Are all glass issues on harvesting machines, in-field trucks, and tractors protected in some manner?	N/A	0	0
03.07i	Are all platforms above product, packaging, or food contact surfaces (e.g. belts) on the harvest machinery, in-field trucks fitted with protection to prevent product contamination?	N/A	0	0
03.08	Is water used directly on product contact(e.g. re-hydration, core in field)? If No, go to 3.09.	No	0	0
03.08a	Are microbial tests conducted including Generic E.coli on water used for washing, hydrating, etc. harvested crops (e.g. re-hydration, core in field) ? If No, go to 3.08c.	N/A	0	0
03.08b	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0
03.08c	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0
03.08d	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0
03.08e	Are the anti-microbial parameters clearly documented and correct for the type anti-microbial being used?	N/A	0	0
03.08f	Are anti-microbial checks being performed on a routine basis?	N/A	0	0
03.08g	Are corrective actions recorded where anti-microbial results are less than the stated minimum criteria?	N/A	0	0
03.09	Is the harvested product "in-field processed" or "In-field semi-processed" (e.g. core in field, top & tail, florets)? If No, go to 4.01.	No	0	0

03.09a	Does the process flow, machine layout, employee control, utensil control, etc. ensure that processed products are not contaminated by unprocessed products?	N/A	0	0
03.09b	Do all employees that come in contact with the product being harvested wear clean protective outer garments (e.g. hairnets, plastic gloves, sleeves and aprons)?	N/A	0	0
03.09c	Do all employees that wear protective outer garments remove and keep them in a clean and secure area during breaks or when using the toilet facilities?	N/A	0	0
03.09d	Are all plastic bin liners closed immediately after harvest to avoid contamination of the harvested product?	N/A	0	0

Transportation and Tracking

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
04.01	Are the vehicles transporting fresh produce from field to facility limited to this function only and maintained in proper condition?	Yes	5	5			
04.02	Is there a system in place to track product from the farm? If No, go to 5.01.	Yes	20	20			
04.02a	Is harvested product (each individual unit) coded to identify date of harvest? NOTE: This question is targeting finished goods packed in the field. N/A for raw material/bulk product destined for further handling in a packinghouse or processor facility.	No	0	10	For finished goods packed in the field there should be date coding on each individual unit. This question is Non-applicable for raw material/bulk product destined for further handling in a packinghouse or processor facility. However, if there are no coding details indicated on pallet tags, bins, trip ticket, or other accompanying load documentation then the response would be no.	No, only pallet tags are issued.	
04.02b	Is harvested product (each individual unit) coded to identify production area where grown (e.g. field/lot/greenhouse)? NOTE: This question is targeting finished goods packed in the field. N/A for raw material/bulk product destined for further handling in a packinghouse or processor facility.	No	0	10	For finished goods packed in the field there should be either a code on the pack, or a system to trace back from a date code to a product area(s). This question is Non-applicable for raw material/bulk product destined for further handling in a packinghouse or processor facility. However, if there are no coding details indicated on pallet tags, bins, trip ticket, or other accompanying load documentation then the response would be no.	No, only pallet tags are issued.	



On Site Storage





Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
05.01	Is there an on site storage for items and/or equipment used in the harvest process (e.g., packing material, cartons, clamshells, re-usable containers, disinfectants, grading/packing tables, RPCs)? If No, go to 6.1.	No	0	0		No, there is no on site storage areas.	
05.01a	Are packaging, containers, and harvesting equipment stored to prevent cross contamination (this includes RPCs, cartons, clamshells, bins, and other harvesting type of containers that are single use or reusable, etc.)?	N/A	0	0			
05.01b	Is the storage area under a sanitation program?	N/A	0	0			
05.01c	Is the storage area under a pest control program?	N/A	0	0			



Food Security

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
06.01	Is access to the harvest area and related equipment controlled (unauthorized individuals Not allowed near the equipment)?	Yes	5	5			
06.02	Are Harvest Crew Forman and members directed to report entry of unauthorized individuals?	Yes	5	5			
06.03	Does the company have documented food security policies?	Yes	3	3			
06.04	Are materials such as sanitizers or packaging (cartons, film, wraps, etc.) stored in secured areas overnight?	Yes	5	5			
06.05	Are materials (e.g. cartons, clamshells, re-usable containers, disinfectants, grading/packing tables, RPCs) when used in the field while harvesting, controlled in a manner that prevents unauthorized tampering (e.g. on a truck near point of harvest)?	Yes	5	5			
06.06	Are background checks conducted on critical personnel?	Yes	5	5		Yes, background checks are conducted.	
06.07	Are all personal materials kept away from the harvest and packaging of the harvesting operation?	Yes	5	5			
06.08	Are all personnel required to undergo training on food security issues and are records kept?	Yes	5	5			
06.09	Are off premises transportation vehicles (trucks, trailers, rail cars, etc) equipped with cell phones, two way radio, GPS or other positioning systems?	Yes	3	3		Yes, two way radios and cell phones are used.	

Audit Scoring Summary

	Inspection	Score	40
		Possible Points	40
		Percent Score	100%
	Employee Activities & Sanitary Facilities	Score	307

	Possible Points	312
	Percent Score	98%
 Harvest Practices	Score	128
	Possible Points	128
	Percent Score	100%
 Transportation and Tracking	Score	25
	Possible Points	45
	Percent Score	56%
 On Site Storage	Score	0
	Possible Points	0
	Percent Score	0%
 Total	Score	500
	Possible Points	525
	Percent Score	95%

Food Security Scoring Summary			
 Food Security	Score	41	
	Possible Points	41	
	Percent Score	100%	
 Total	Score	41	
	Possible Points	41	
	Percent Score	100%	

Score System For Entire Audit	
Percentage/Category	
95–100%	Superior
85–94%	Excellent
73–84%	Standard
61–72%	Marginal
<60%	Unsatisfactory