



## PrimusLabs Audit (146769) Ranch V07.04 Rev. 1

|   |   |
|---|---|
| <b>Customer(s)</b>                            | <b>Santa Rosa Produce</b><br>Contact: <a href="#">Cindi Pearson</a><br>Address: 9254 N. Ralston Rd.<br>Maricopa Arizona 85239<br>United States<br>Phone Number: 520-568-9456 Ext: None<br>Email(s): <a href="mailto:cindi@prodigy.net">cindi@prodigy.net</a>              |
| <b>Grower(s)</b>                              | <b>Santa Rosa Produce</b><br>Contact: <a href="#">Cindi Pearson</a><br>Address: 9254 North Ralston Rd. Maricopa Az. 85239<br>Maricopa Arizona 85239<br>United States<br>Phone Number: 520 568 94 56<br>Email(s): <a href="mailto:cindi@prodigy.net">cindi@prodigy.net</a> |
| <b>Ranch(s)</b>                               | <b>Dobson</b><br>Contact: <a href="#">Cindi Pearson</a><br>Address: 9254 North Ralston Rd. Maricopa , Az 85239<br>Maricopa Arizona 85239<br>United States<br>Phone Number: 520 568 94 56<br>Email(s): <a href="mailto:cindi@prodigy.net">cindi@prodigy.net</a>            |
| <b>Audit Type:</b>                            | Ranch   |
| <b>Foreman or Contact Person (and email):</b> | Larry Pearson   |
| <b>Location/City:</b>                         | Maricopa  |
| <b>State:</b>                                 | Arizona   |
| <b>Country:</b>                               | United States   |
| <b>Date Audit Started:</b>                    | 06/02/2011 08:00  |
| <b>Date Audit Finished:</b>                   | 06/02/2011 14:00  |
| <b>Commodity(ies):</b>                        | Cantaloupe, Honeydew  |
| <b>Auditor:</b>                               | <a href="#">Gustavo Adolfo Tamayo Reyes</a> (Tamayo, Gustavo – Subcontractor)   |
| <b>Audit Percentage Score:</b>                | 100%  |
| <b>Scoring Category:</b>                      | 95-100% Superior  |



|   |                            |
|---|----------------------------|
| <b>Announced Audit?</b>                   | Yes                        |
| <b>Audit Scope:</b>                       | Ranch audit. Conventional. |
| <b>Audit Entered By:</b>                  | Gustavo Tamayo             |
| <b>Other Auditing Personnel And Role:</b> | None                       |

**Additional Report Links**

[Report and Corrective Action Summary](#)

[See The Result Comparison](#)

[See The Audited Entity History](#)

**Corrective Actions Response**

**Note: This link must be used in order to notify that you are taking action in the corrective measures for questions that were not in full compliance.**

**Sections:**

[Self Inspection](#)

[Traceability](#)

[Ground History](#)

[Adjacent Land Use](#)

[Fertilizer/Crop Nutrition](#)

[Irrigation/Water Use](#)

[Crop Protection](#)

[Employee Hygiene \(This applies to on-the-farm staff not the harvesting staff\)](#)

[Food Security](#)

**Self Inspection**

| Question No. | Audit Question  | Given Answer | Given Score | Possible Score | Recommendation | Auditor Comments  | Audio/ Picture |
|--------------|---|--------------|-------------|----------------|----------------|---|----------------|
| 01.01        | Have Good Agricultural Practices (GAP) manuals covering each growing area within the scope of this audit (e.g., growing operation, orchard, vineyard) been developed and are they available for review? | Yes          | 5           | 5              |                | <b>Yes, GAP manuals have been developed.</b>                  |                |
| 01.02        | Have documented Good Agricultural Practices (GAP)self-audits been completed for each growing area that is within the scope of this audit (e.g. growing operation, orchard, vineyard)?                   | Yes          | 7           | 7              |                | <b>Yes, self GAP audit has been conducted and documented.</b> |                |

**Traceability**

| Question No. | Audit Question   | Given Answer | Given Score | Possible Score | Recommendation | Auditor Comments                                      | Audio/ Picture |
|--------------|--|--------------|-------------|----------------|----------------|---|----------------|
| 02.01        | Is the growing area(s) adequately identified or coded to enable trace back and trace forward in the event of a recall? | Yes          | 15          | 15             |                | <b>Yes, ranch and lot numbers have been assigned.</b> |                |

**Ground History**

| Question | Audit Question | Given | Given | Possible | Recommendation | Auditor | Audio/ |
|----------|----------------|-------|-------|----------|----------------|---------|--------|
|----------|----------------|-------|-------|----------|----------------|---------|--------|

| No.    | Answer  | Score      | Score | Comments | Picture |
|--------|---|------------|-------|----------|---------|
| 03.01  | Were farming area(s) used for growing food crops for human consumption last season?   | <b>Yes</b> | 0     | 0        |         |
| 03.02  | Has the growing area(s) been used for any non-agricultural functions? If No, go to 3.03   | <b>No</b>  | 7     | 7        |         |
| 03.02a | If the land had been used previously for non-agricultural functions have soil tests been conducted showing soil was negative or within an appropriate regulatory agency's approved limits for contaminants? | <b>N/A</b> | 0     | 0        |         |
| 03.03  | Has the growing area(s) been used for animal husbandry or grazing land for animals? If No, go to 3.04   | <b>No</b>  | 7     | 7        |         |
| 03.03a | If the land was used previously for animal husbandry or grazing land for livestock, has a risk evaluation been performed?   | <b>N/A</b> | 0     | 0        |         |
| 03.04  | Is there any evidence of animal activity in the crop that is a potential food safety risk?  | <b>No</b>  | 20    | 20       |         |
| 03.05  | Has flooding from uncontrolled causes occurred on the growing area(s) since the previous growing season? If No, go to 3.06  | <b>No</b>  | 0     | 0        |         |
| 03.05a | If the growing area(s) and product was affected from the flood waters, is there documented evidence that corrective measures were taken to affected land and product?                                       | <b>N/A</b> | 0     | 0        |         |
| 03.05b | Have soil tests been conducted on the flooded area(s) showing soil was negative or within an  | <b>N/A</b> | 0     | 0        |         |

|        |  |     |   |   |  |  |
|--------|--|-----|---|---|--|--|
|        | appropriate regulatory agency's approved limits for contaminants?  |     |   |   |  |  |
| 03.06  | Is the growing operation under organic principles? If No, go to 3.07   | No  | 0 | 0 | Definition for "organic principles": A system that relies on ecosystem management rather than external agricultural inputs.<br><a href="http://www.fao.org/ORGANICAG/fram11-e.htm">http://www.fao.org/ORGANICAG/fram11-e.htm</a> | <b>No, only conventional crops are produced.</b>       |
| 03.06a | Is current certification by an accredited organic certification organization on file and available for review? | N/A | 0 | 0 |  |  |
| 03.07  | If the growing area(s) is a new purchase or lease, has a documented risk assessment been undertaken?           | N/A | 0 | 0 |  | <b>N/A, this is not a new purchase or a new lease.</b> |

### Adjacent Land Use

| Question No. | Audit Question  | Given Answer | Given Score | Possible Score | Recommendation | Auditor Comments  | Audio/ Picture |
|--------------|---|--------------|-------------|----------------|----------------|---|----------------|
| 04.01        | Is the adjacent land to the growing area a possible source of contamination from intensive livestock production (e.g. feed lots, dairy operations, poultry houses, meat rendering operation)? If No, go to 4.02   | No           | 10          | 10             |                | <b>No, no livestock operations seen in the adjacent land.</b> |                |
| 04.01a       | Have appropriate measures been taken to mitigate this possible contamination source onto the growing area (e.g. buffer areas, physical barriers, fences, ditches, etc.)?  | N/A          | 0           | 0              |                |   |                |
| 04.02        | Are, or is there evidence of domestic animals, wild animals, grazing lands (includes homes with hobby farms, and non commercial livestock) in proximity to growing operation? If No, go to 4.03   | No           | 10          | 10             |                |   |                |
| 04.02a       | Have physical measures been put in place to restrain domestic animals, grazing lands, (includes homes with hobby farms, and non commercial livestock) and their waste from entering the growing area (e.g. vegetative strips, wind breaks, physical barriers, berms, fences, diversion ditches.)? | N/A          | 0           | 0              |                |   |                |
| 04.02b       | Is there a written policy supported by visual evidence that domestic, livestock, or wild animals are not allowed in the growing area? Note: This includes any packaging or equipment storage areas.   | N/A          | 0           | 0              |                |   |                |
| 04.02c       | Are measures in place to reduce or limit the animal intrusion (i.e., monitoring field perimeter for signs of intrusion)?  | N/A          | 0           | 0              |                |   |                |
| 04.03        | Are untreated animal manure piles, compost, biosolids, or nonsynthetic amendment stored and/or applied on adjacent land? If No, go to 4.04  | No           | 10          | 10             |                |   |                |
| 04.03a       | Have physical measures been taken to secure untreated animal manure piles, compost, biosolids, or nonsynthetic amendment stored and/or applied on   | N/A          | 0           | 0              |                |   |                |

|        |   |     |    |    |  |   |
|--------|---|-----|----|----|--|---|
|        | adjacent land?  |     |    |    |  |   |
| 04.03b | If biosolids are stored and/or applied on adjacent land, has the adjacent landowner supplied paperwork confirming the biosolids meet prevailing guidelines, governmental, or local standards?   | N/A | 0  | 0  |  |   |
| 04.04  | Is the growing area situated in a higher risk location where contamination could occur from nearby operations or functions (e.g. leach fields, runoff or potential flooding from sewers, toilet systems, industrial facilities, labor camps)? If No, go to 4.05 | No  | 10 | 10 |  |   |
| 04.04a | Have appropriate measures been taken to mitigate risks related to nearby operations?  | N/A | 0  | 0  |  |   |
| 04.05  | Is there a documented and implemented policy that infant or toddler aged children are not allowed in the growing area? NOTE: This includes any packaging or equipment storage areas.  | Yes | 10 | 10 |  | <b>Yes, minors policy has been implemented.</b> |

### Fertilizer/Crop Nutrition

| Question No. | Audit Question  | Given Answer | Given Score | Possible Score | Recommendation | Auditor Comments | Audio/Picture |
|--------------|---|--------------|-------------|----------------|----------------|------------------|---------------|
| 05.01        | Is untreated human sewage sludge used in the growing cycle? If this question is answered Yes, automatic failure of this audit will result.  | No           | 20          | 20             |                |                  |               |
| 05.02        | Is compost produced from animal derived materials used by the grower? If No, go to 5.03   | No           | 0           | 0              |                |                  |               |
| 05.02a       | Are compost applications incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?  | N/A          | 0           | 0              |                |                  |               |
| 05.02b       | Are there compost use records available for each growing area, including application records which shows that the interval between application and harvest was not less than 45 days?   | N/A          | 0           | 0              |                |                  |               |
| 05.02c       | Are there Certificate(s) of Analysis (CoA) from the compost supplier(s) that covers pathogen and heavy metal testing (plus any other legally/best practice required testing) and does the grower have relevant letters of guarantee regarding SOP's and logs? | N/A          | 0           | 0              |                |                  |               |
| 05.03        | Are biosolids used? If No, go to 5.04. NOTE: Special attention to commodity specific guidelines rules (e.g., Californian Leafy Greens) which ban the use of biosolids, see 5.03d  | No           | 0           | 0              |                |                  |               |
| 05.03a       | Are biosolids incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?   | N/A          | 0           | 0              |                |                  |               |
| 05.03b       | Are the grower's biosolids use records available for each growing area, especially application records?   | N/A          | 0           | 0              |                |                  |               |
| 05.03c       | Are there a Certificate(s) of Analysis (COA) from the biosolid supplier(s) certifying compliance with prevailing national/ local standards and guidelines? If this question is answered No, automatic failure of this audit will result.                      | N/A          | 0           | 0              |                |                  |               |
| 05.03d       |   | N/A          | 0           | 0              |                |                  |               |

|        |   |     |    |    |                                       |
|--------|---|-----|----|----|---------------------------------------|
|        | Are biosolids being applied to crops where the country of production regulations/guidelines ban the use such materials e.g. Leafy Green Commodity Specific Guidelines in California? If this question is answered Yes, automatic failure of this audit will result.   |     |    |    |                                       |
| 05.04  | Is untreated animal manure used? If No, go to 5.05.<br>NOTE: Special attention to commodity specific guidelines rules (e.g., Californian Leafy Green Commodity Specific Guidelines) which ban the use of untreated animal manures. See 5.04d                          | No  | 15 | 15 |                                       |
| 05.04a | Is untreated animal manure incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?  | N/A | 0  | 0  |                                       |
| 05.04b | Are there untreated animal manure records available for each growing area including application records which shows that the interval between application and harvest was not less than 120 days (unless more stringent laws or guidelines exist)?                    | N/A | 0  | 0  |                                       |
| 05.04c | Are there Certificate(s) of Analysis (COA), specification or some other document available for review provided by the untreated animal manure supplier stating the components of the material?  | N/A | 0  | 0  |                                       |
| 05.04d | Are untreated animal manures being applied to crops where the country regulations/guidelines ban the use such materials (e.g., Californian Leafy Green Commodity Specific Guidelines)? If this question is answered Yes, automatic failure of this audit will result. | N/A | 0  | 0  |                                       |
| 05.05  | Are other nonsynthetic crop treatments used (e.g. compost teas, fish emulsions, fish meal, blood meal, "bio fertilizers")? If No, go to 5.06  | No  | 0  | 0  |                                       |
| 05.05a | Are nonsynthetic treatments that contain animal products or animal manures applied to the edible portions crops?  | N/A | 0  | 0  |                                       |
| 05.05b | Are nonsynthetic crop treatment records available for each growing area including application records demonstrating the interval between application and harvest was not less than 45 days?   | N/A | 0  | 0  |                                       |
| 05.05c | Are there Certificate(s) of Analysis available from the nonsynthetic crop treatment suppliers that covers pathogen and heavy metal testing (plus any other legally/best practice required testing)?   | N/A | 0  | 0  |                                       |
| 05.06  | Are any soil amendments (except inorganic nutrients/fertilizers) used that do not contain animal products and/or animal manures? If No, go to 5.07  | No  | 0  | 0  |                                       |
| 05.06a | Are the grower's soil amendment (except inorganic nutrients/fertilizers that do not contain animal products and/or animal manures) records available for review including application records?  | N/A | 0  | 0  |                                       |
| 05.06b | Are there Certificate(s) of Analysis (COA) and/or letters of guarantee stating that the materials used are free from animal products and/or animal manures?   | N/A | 0  | 0  |                                       |
| 05.07  | Are inorganic fertilizers used? If No, go to 5.08   | Yes | 0  | 0  | <b>Yes, inorganic fertilizers are</b> |

|        |  |     |    |    |  |   |
|--------|--|-----|----|----|--|---|
| 05.07a | Are the grower's inorganic fertilizer records available for review including application records?  | Yes | 10 | 10 |  | used.<br>Yes, applications records are available for review |
| 05.07b | Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the inorganic fertilizer supplier(s) that specifies the source of all the ingredients including inert materials? | Yes | 7  | 7  |  | Yes, letters of guarantee are on file.                      |
| 05.08  | If fertilizers and/or fertilizer containers are stored on the property, are they stored in a manner to prevent contamination to the growing area(s) or any of water sources?                                   | N/A | 0  | 0  |  |   |

### Irrigation/Water Use

| Question No. | Audit Question  | Given Answer | Given Score | Possible Score | Recommendation  | Auditor Comments                      | Audio/Picture |
|--------------|---|--------------|-------------|----------------|---|---------------------------------------|---------------|
| 06.01        | Does the growing operation practice dryland farming? If No, go to 6.02.   | No           | 0           | 0              | This refers to crop production that relies only on direct rainfall. | No, dryland farming is not practiced. |               |
| 06.01a       | If the growing operation practices dryland farming, are there water systems used in the growing operation to supply for crop needs such as crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.02 | N/A          | 0           | 0              |   |                                       |               |
| 06.01b       | Are microbiological tests, including Generic E.coli conducted on water used for crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.01d.  | N/A          | 0           | 0              |   |                                       |               |
| 06.01c       | Are the microbiological tests current and conducted at the required and/or expected frequencies?  | N/A          | 0           | 0              |   |                                       |               |
| 06.01d       | Do written procedures (SOPs) exist covering proper sampling protocols which include how samples should be identified?   | N/A          | 0           | 0              |   |                                       |               |
| 06.01e       | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?   | N/A          | 0           | 0              |   |                                       |               |
| 06.01f       | If unsuitable or abnormal results have been detected, have documented corrective measures been performed?   | N/A          | 0           | 0              |   |                                       |               |
| 06.02        | Is the water used for the growing operation sourced from Municipal or   | No           | 0           | 0              | (No recommendation)   | No.                                   |               |

|        |   |     |   |   |                     |     |
|--------|---|-----|---|---|---------------------|-----|
|        | District water pipeline systems? If No, go to 6.03  |     |   |   |                     |     |
| 06.02a | Are microbiological tests, including Generic E.coli conducted on water used for crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.02c.  | N/A | 0 | 0 |                     |     |
| 06.02b | Are the microbiological tests current and conducted at the required and/or expected frequencies?  | N/A | 0 | 0 |                     |     |
| 06.02c | Do written procedures (SOPs) exist covering proper sampling protocols?  | N/A | 0 | 0 |                     |     |
| 06.02d | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?   | N/A | 0 | 0 |                     |     |
| 06.02e | If unsuitable or abnormal results have been detected, have documented corrective measures been performed?   | N/A | 0 | 0 |                     |     |
| 06.02f | Are the crops irrigated by a micro irrigation or drip system?   | N/A | 0 | 0 |                     |     |
| 06.02g | Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | N/A | 0 | 0 |                     |     |
| 06.02h | Are the crops irrigated by flood irrigation or a furrow system?   | N/A | 0 | 0 |                     |     |
| 06.02i | Are the crops sub irrigated (also known as seepage irrigation)?   | N/A | 0 | 0 |                     |     |
| 06.03  | Is the water used in the growing operation sourced from wells? If No, go to 6.04  | No  | 0 | 0 | (No recommendation) | No. |
| 06.03a | Are all well heads an adequate distance from untreated manure?  | N/A | 0 | 0 |                     |     |
| 06.03b | Is the well designed to prevent contamination?  | N/A | 0 | 0 |                     |     |
| 06.03c | Is it evident that the well(s) is free from contamination issues and are measures taken to minimize contamination of wells?   | N/A | 0 | 0 |                     |     |
| 06.03d | Are records kept for periodic inspections and treatment of wells (if performed) available for review?   | N/A | 0 | 0 |                     |     |
| 06.03e | Are microbiological tests, including Generic E.coli conducted on water used for irrigation, crop  | N/A | 0 | 0 |                     |     |

|        |   |     |    |    |  |  |
|--------|---|-----|----|----|--|--|
|        | protection/fertilizer applications, and frost or freeze prevention program?<br>If No, go to 6.03g   |     |    |    |  |  |
| 06.03f | Are the microbiological tests current and conducted at the required and/or expected frequencies?  | N/A | 0  | 0  |  |  |
| 06.03g | Do written procedures (SOPs) exist covering proper sampling protocols?  | N/A | 0  | 0  |  |  |
| 06.03h | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?   | N/A | 0  | 0  |  |  |
| 06.03i | If unsuitable or abnormal results have been detected, have documented corrective measures been performed?   | N/A | 0  | 0  |  |  |
| 06.03j | Are the crops irrigated by a micro irrigation or drip system?   | N/A | 0  | 0  |  |  |
| 06.03k | Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | N/A | 0  | 0  |  |  |
| 06.03l | Are the crops irrigated by flood irrigation or a furrow system?   | N/A | 0  | 0  |  |  |
| 06.03m | Are the crops sub irrigated (also known as seepage irrigation)?   | N/A | 0  | 0  |  |  |
| 06.04  | Is the water used in the growing operation sourced from ponds, reservoirs, watersheds or other surface water source? If No, go to 6.05  | Yes | 0  | 0  | Water sourced from ponds, reservoirs, watersheds or other surface water systems may carry more of a risk for contamination than closed water sources. For surface waters, consider the impact of storm events on irrigation practices. Bacterial loads in surface water are generally much higher than normal, and caution should be exercised when using these waters for irrigation. | <b>Yes, there is a water reservoir in use.</b> |
| 06.04a | Is surface water in adequate distance from untreated manure?  | Yes | 15 | 15 |  |  |
| 06.04b | Do animals (domestic, livestock, or wild) have access to the water source?  | No  | 7  | 7  |  |  |
| 06.04c | Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the water source?   | Yes | 10 | 10 |  |  |
| 06.04d | Are records kept for the periodic visual inspections and disinfection treatments (if used) available for  | Yes | 7  | 7  |  |  |

|        |   |            |    |    |   |
|--------|---|------------|----|----|---|
|        | review?   |            |    |    |   |
| 06.04e | Are microbiological tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.04g   | <b>Yes</b> | 20 | 20 |   |
| 06.04f | Are the microbiological tests current and conducted at the required and/or expected frequencies?  | <b>Yes</b> | 15 | 15 |   |
| 06.04g | Do written procedures (SOPs) exist covering proper sampling protocols?  | <b>Yes</b> | 10 | 10 |   |
| 06.04h | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?   | <b>Yes</b> | 10 | 10 |   |
| 06.04i | If unsuitable or abnormal results have been detected, have documented corrective measures been performed?   | <b>N/A</b> | 0  | 0  | <b>N/A, no abnormal results have been detected.</b>   |
| 06.04j | Are the crops irrigated by a micro irrigation or drip system?   | <b>Yes</b> | 0  | 0  |   |
| 06.04k | Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | <b>No</b>  | 0  | 0  |   |
| 06.04l | Are the crops irrigated by flood irrigation or a furrow system?   | <b>No</b>  | 0  | 0  |   |
| 06.04m | Are the crops sub irrigated (also known as seepage irrigation)?   | <b>No</b>  | 0  | 0  | Information gathering question. Reducing contact with edible portion of the crop is believed to reduce microbial risk.  |
| 06.05  | Is the water used in the growing operation sourced from canals, rivers, ditches, or other open flowing water systems? If No, go to 6.06   | <b>Yes</b> | 0  | 0  | Water sourced from canals, rivers, ditches or other open flowing water systems may carry more of a risk for contamination than closed water sources. For surface waters, consider the impact of storm events on irrigation practices. Bacterial loads in surface water are generally much higher than normal, and caution should be exercised when using these waters for irrigation. <b>Yes, irrigation water is sourced from canal.</b> |
| 06.05a | Is surface water in adequate distance from untreated manure?  | <b>Yes</b> | 15 | 15 |   |
| 06.05b | Is the water source under the direction of a water authority or district?   | <b>Yes</b> | 5  | 5  |   |
| 06.05c | Do animals (domestic, livestock, or wild) have access to the water  | <b>No</b>  | 7  | 7  |   |

|        |   |            |    |    |  |
|--------|---|------------|----|----|--|
|        | source?   |            |    |    |  |
| 06.05d | Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the water source?   | <b>Yes</b> | 10 | 10 |  |
| 06.05e | Are records kept for periodic visual inspection and disinfection (if occurring) of the water source and available for review?   | <b>Yes</b> | 7  | 7  |  |
| 06.05f | Are microbial tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program?<br>If No, go to 6.05h  | <b>Yes</b> | 20 | 20 |  |
| 06.05g | Are the microbiological tests current and conducted at the required and/or expected frequencies?  | <b>Yes</b> | 15 | 15 |  |
| 06.05h | Do written procedures (SOPs) exist covering proper sampling protocols?  | <b>Yes</b> | 10 | 10 |  |
| 06.05i | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?   | <b>Yes</b> | 10 | 10 |  |
| 06.05j | If unsuitable or abnormal results have been detected, have documented corrective measures been performed?   | <b>N/A</b> | 0  | 0  | <b>N/A, no abnormal results have been detected.</b>  |
| 06.05k | Are the crops irrigated by a micro irrigation or drip system?   | <b>Yes</b> | 0  | 0  |  |
| 06.05l | Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | <b>No</b>  | 0  | 0  |  |
| 06.05m | Are the crops irrigated by flood irrigation or furrow system?   | <b>No</b>  | 0  | 0  |  |
| 06.05n | Are the crops sub irrigated (also known as seepage irrigation)?   | <b>No</b>  | 0  | 0  | Information gathering question. Reducing contact with edible portion of the crop is believed to reduce microbial risk. |
| 06.06  | Is reclaimed water used in the growing operation? NOTE: This refers to wastewater that has gone through a treatment process. If No, go to 6.07.   | <b>No</b>  | 0  | 0  | <b>No.</b>   |
| 06.06a | Is the reclamation process under the direction of a water reclamation management or authority?  | <b>N/A</b> | 0  | 0  |  |

|        |   |     |   |   |
|--------|---|-----|---|---|
| 06.06b | Are microbial control measures for reclaimed water utilized?  | N/A | 0 | 0 |
| 06.06c | Are microbial tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program?<br>If No, go to 6.06e  | N/A | 0 | 0 |
| 06.06d | Are the microbiological tests current and conducted at the required and/or expected frequencies?  | N/A | 0 | 0 |
| 06.06e | Do written procedures (SOPs) exist covering proper sampling protocols?  | N/A | 0 | 0 |
| 06.06f | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?   | N/A | 0 | 0 |
| 06.06g | If unsuitable or abnormal results have been detected, have documented corrective measures been performed?   | N/A | 0 | 0 |
| 06.06h | Are the crops irrigated by a micro irrigation or drip system?   | N/A | 0 | 0 |
| 06.06i | Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | N/A | 0 | 0 |
| 06.06j | Are the crops irrigated by flood irrigation or a furrow system?   | N/A | 0 | 0 |
| 06.06k | Are the crops sub irrigated (also known as seepage irrigation)?   | N/A | 0 | 0 |
| 06.07  | Are tail water (run off water) systems used in the growing operation? If No, go to 6.08.  | No  | 0 | 0 |
| 06.07a | Is tail water in adequate distance from untreated manure?   | N/A | 0 | 0 |
| 06.07b | Do animals (domestic, livestock, or wild) have access to the tail water systems?  | N/A | 0 | 0 |
| 06.07c | Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the tail water system?  | N/A | 0 | 0 |
| 06.07d | Are records kept for periodic visual inspection and disinfection (if occurring) of the water source and available for review?   | N/A | 0 | 0 |

|        |   |     |    |    |                               |
|--------|---|-----|----|----|-------------------------------|
| 06.07e | Are microbial tests conducted, including Generic E.coli on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program?<br>If No, go to 6.07g  | N/A | 0  | 0  |                               |
| 06.07f | Are the microbiological tests current and conducted at the required and/or expected frequencies?  | N/A | 0  | 0  |                               |
| 06.07g | Do written procedures (SOPs) exist covering proper sampling protocols?  | N/A | 0  | 0  |                               |
| 06.07h | Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?   | N/A | 0  | 0  |                               |
| 06.07i | If unsuitable or abnormal results have been detected, have documented corrective measures been performed?   | N/A | 0  | 0  |                               |
| 06.07j | Are the crops irrigated by a micro irrigation or drip system?   | N/A | 0  | 0  |                               |
| 06.07k | Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand. | N/A | 0  | 0  |                               |
| 06.07l | Are the crops irrigated by flood irrigation or furrow system?   | N/A | 0  | 0  |                               |
| 06.07m | Are the crops sub irrigated (also known as seepage irrigation)?   | N/A | 0  | 0  |                               |
| 06.08  | Are check valves, anti-siphon devices, or other back flow prevention systems in use when and where necessary?   | Yes | 10 | 10 | Yes, check valves are fitted. |
| 06.09  | Is irrigation equipment not in use free from pest contamination and stored clean off the ground?  | Yes | 10 | 10 | Yes.                          |

## Crop Protection

| Question No. | Audit Question   | Given Answer | Given Score | Possible Score | Recommendation | Auditor Comments   | Audio/Picture |
|--------------|--|--------------|-------------|----------------|----------------|--|---------------|
| 07.01        | Is there a documented policy and/or procedures for the mixing/loading of crop protection materials?  | Yes          | 5           | 5              |                | Yes, SOP has been created.   |               |
| 07.01a       | Is mixing, loading, or the dilution of crop protection materials performed safely and within a distance where the growing area and water sources are not affected? | Yes          | 7           | 7              |                | Yes, there is a designated area, away from water source and growing areas. |               |

|        |  |     |    |    |  |
|--------|--|-----|----|----|--|
| 07.02  | Is there a documented policy and/or procedures for the rinsing and cleaning of crop protection equipment?  | Yes | 5  | 5  |  |
| 07.02a | Is rinsing and cleaning of crop protection equipment performed safely and within a distance where land and water sources are not affected?   | Yes | 7  | 7  |  |
| 07.03  | Is there documentation that shows the individual(s) making decisions for crop protection are qualified?  | Yes | 10 | 10 |  |
| 07.04  | Is there documentation that shows employees who handle crop protection materials are trained or are under the supervision of a trained individual?   | Yes | 15 | 15 |  |
| 07.05  | Does the growing operation follow a pesticide application recording program? If No, go to 7.06 If this question is answered No, automatic failure of this audit will result.   | Yes | 20 | 20 |  |
| 07.05a | Are crop protection application records up to date and available for review?   | Yes | 15 | 15 | <b>Yes, applications records are available for review. They are updated.</b> |
| 07.06  | Are crop protection materials registered in the country of use for the target crop where official registration is in place? If this question is answered Yes, skip to question 7.07. If no official registration system is utilized answer this question N/A and go to 7.06a. Where a system exists, but a crop protection chemical being used is not registered for the target crop either "in country" or by extrapolation (see 7.06a), the response to this question is No. If this question is answered No, automatic failure of this audit will result. | Yes | 20 | 20 |  |
| 07.06a | Does the country of production allow the use of crop protection materials that are registered for the target crop in another country, as long as the use of this material does not contravene any prevailing national and local laws in the destination country (e.g. maximum residue limit, banned lists, etc.)? If this question is answered No, automatic failure of this audit will result.  | Yes | 20 | 20 |  |
| 07.07  | Are crop protection applications restricted by the guidelines established by the product label, manufacturer recommendation, or by prevailing national/ local standards and guidelines? If this question is answered No, automatic failure of this audit will result.  | Yes | 20 | 20 |  |
| 07.08  | Where harvesting is restricted by pre-harvest intervals (as required on the crop protection chemical product labels, manufacturer recommendations and/or by prevailing national/ local standards) is the grower adhering to these pre-harvest interval time periods? If this question is answered No, automatic failure of this audit will result.   | Yes | 20 | 20 |  |
| 07.09  | Are employee re-entry intervals established as required by the pesticide label, manufacturer recommendation, or by prevailing national/ local standards and guidelines?  | Yes | 10 | 10 |  |
| 07.10  | When crop protection applications occur, does posting take place on area of treatment according to prevailing national/ local standards and guidelines?  | Yes | 10 | 10 | <b>Yes, fields are posted when applications occur.</b>                       |

|        |  |     |    |    |
|--------|--|-----|----|----|
| 07.11  | To avoid drift, are crop protection applications restricted when gusts are excessive?  | Yes | 10 | 10 |
| 07.12  | If crop protection containers are stored on the property (even temporarily), are they stored in a manner to prevent contamination and disposed of responsibly?                 | Yes | 10 | 10 |
| 07.13  | Have documented policies and/or procedures been developed for the monitoring of crop protection application equipment (e.g. calibration procedures, inspections, replacement)? | Yes | 10 | 10 |
| 07.13a | Is it evident that the equipment used for crop protection applications is in good working order?   | Yes | 10 | 10 |

### Employee Hygiene (This applies to on-the-farm staff not the harvesting staff)

| Question No. | Audit Question   | Given Answer | Given Score | Possible Score | Recommendation | Auditor Comments   | Audio/Picture |
|--------------|--|--------------|-------------|----------------|----------------|--|---------------|
| 08.01        | Does the growing operation have a documented and implemented policy for dealing with employees who appear to be physically ill, or become ill while working?   | Yes          | 10          | 10             |                |  |               |
| 08.02        | Does the growing operation have a documented and implemented policy regarding employees with open sores and wounds?  | Yes          | 10          | 10             |                |  |               |
| 08.03        | Does the growing operation have a documented and implemented procedure in place requiring for all commodities that come in contact with blood to be destroyed? If this question is answered No, automatic failure of this audit will result. | Yes          | 20          | 20             |                | <b>Yes, blood policy has been developed.</b>             |               |
| 08.04        | Does the growing operation have documented and implemented policies prohibiting eating, drinking (including gum chewing) using tobacco in the growing area?  | Yes          | 10          | 10             |                |  |               |
| 08.05        | Is there a food safety hygiene training program covering new and existing employees and are there records of these training events?  | Yes          | 15          | 15             |                |  |               |
| 08.06        | Are there operational toilet facilities provided? If NO, go to 8.07. If this question is answered No, the audit will result in an automatic failure.   | Yes          | 20          | 20             |                | <b>Yes, operational toilet units are provided.</b>       |               |
| 08.06a       | Are the toilet facilities placed within ¼ mile or 5 minutes walking distance of all employees?   | N/A          | 0           | 0              |                |  |               |
| 08.06b       | Are toilet facilities in a suitable location to prevent contamination to the growing area?   | N/A          | 0           | 0              |                |  |               |
| 08.06c       | Is a minimum of one toilet facility provided for each group of 20 employees?   | Yes          | 5           | 5              |                | <b>Yes, toilets are adequate in number and location.</b> |               |
| 08.06d       | Do toilet facilities have visuals or signs, written in the appropriate languages, reminding employees to wash their hands before returning to work?  | Yes          | 2           | 2              |                |  |               |
| 08.06e       | Are the toilets maintained in a clean and sanitary condition and are there records showing toilet cleaning, servicing and stocking is occurring regularly?   | Yes          | 10          | 10             |                |  |               |

|        |  |     |    |    |   |
|--------|--|-----|----|----|---|
| 08.06f | Are the catch basins of the toilets designed and maintained to prevent contamination in the field (e.g. free from leaks and cracks)?   | Yes | 5  | 5  |   |
| 08.06g | Is there is a documented and implemented procedure for emptying the catch basin in a hygienic manner and also in a way that prevent product, packaging, equipment and water system contamination?  | Yes | 5  | 5  |   |
| 08.07  | Is there evidence of fecal contamination in proximity to the growing area or any storage areas? (This refer to a single account of human or domestic animal fecal matter and/or systematic discoveries of wild animal manure) If this question is answered Yes, automatic failure of this audit will result. | No  | 20 | 20 | <b>No, no fecal contamination issues observed.</b>        |
| 08.08  | Are there operational hand washing facilities provided? If No, go to 8.09  | Yes | 15 | 15 | <b>Yes, hand washing stations aren provided.</b>          |
| 08.08a | Are the hand washing facilities placed within ¼ mile or 5 minutes walking distance of all employees?   | Yes | 10 | 10 |   |
| 08.08b | Are hand wash stations clearly visible (e.g. situated outside the toilet facility) and easily accessible to workers?   | Yes | 0  | 0  | <b>Yes, stations are placed outside the toilet units.</b> |
| 08.08c | Are hand wash stations properly stocked with soap, paper towels and trash can?   | Yes | 5  | 5  |   |
| 08.08d | Are the hand wash stations designed and being maintained to prevent contamination onto the growing area ( i.e. spent water does not go straight to the ground)?  | Yes | 5  | 5  |   |
| 08.08e | Does the growing operation have a documented and implemented policy and procedure in place requiring employees to wash their hands (e.g. prior to beginning work, after breaks, after toilet use)?   | Yes | 10 | 10 |   |
| 08.09  | Is fresh potable drinking water provided for workers? If No, go to 8.10  | Yes | 10 | 10 |   |
| 08.09a | If used, are water containers maintained in a clean condition?   | Yes | 5  | 5  |   |
| 08.10  | Are first-aid kits available and is the inventory maintained properly?   | Yes | 5  | 5  | <b>Yes, first aid kits are adequately stocked.</b>        |
| 08.11  | Are there trash cans available on the farm placed in suitable locations?   | Yes | 5  | 5  |   |
| 08.12  | Are there any foreign material issues observed that are or could be potential risks to the product in the growing area (e.g jewelry)?  | No  | 5  | 5  |   |









### Food Security

| Question No. | Audit Question  | Given Answer | Given Score | Possible Score | Recommendation  | Auditor Comments                     | Audio/ Picture |
|--------------|---|--------------|-------------|----------------|---|--------------------------------------|----------------|
| 09.01        | Are measures taken to control vehicle access to the growing area? | No           | 0           | 5              | <a href="http://www.usda.gov/documents/PreHarvestSecurity_final.pdf">http://www.usda.gov/documents/PreHarvestSecurity_final.pdf</a> | <b>No, community roads are used.</b> |                |

|        |   |            |   |   |                                    |
|--------|---|------------|---|---|------------------------------------|
|        | (specified access roads, furrows, etc)?   |            |   |   |                                    |
| 09.02  | Are postings such as "No Trespassing" and/or "Restricted Entry" used appropriately?               | <b>Yes</b> | 5 | 5 |                                    |
| 09.03  | Are entrances to ranch-level roads restricted by gates, chains, guard stations, etc.?             | <b>Yes</b> | 5 | 5 | <b>Yes, gates are kept closed.</b> |
| 09.04  | Are wells or other water sources secured and designed to prohibit tampering?                      | <b>Yes</b> | 5 | 5 |                                    |
| 09.05  | Are grounds and facilities monitored by either in house or contracted personnel?                  | <b>Yes</b> | 5 | 5 |                                    |
| 09.06  | Are staff members instructed to note and report suspicious activity?                              | <b>Yes</b> | 5 | 5 |                                    |
| 09.07  | Are high risk areas (e.g. spray equipment, equipment shops, sheds) monitored?                     | <b>Yes</b> | 5 | 5 |                                    |
| 09.08  | Is equipment examined for tampering prior to use?   | <b>Yes</b> | 5 | 5 |                                    |
| 09.09  | Are company supervisors required to undergo documented security training with attendance records? | <b>Yes</b> | 5 | 5 |                                    |
| 09.10  | Is education material related to security made available?   | <b>Yes</b> | 5 | 5 |                                    |
| 09.11  | Are inventory records of agricultural chemicals maintained? If No, go to 9.12                     | <b>Yes</b> | 5 | 5 |                                    |
| 09.11a |   | <b>Yes</b> | 5 | 5 |                                    |

Are the inventory records periodically reconciled with inventory?

09.12 Are all personal materials kept away from the harvest and packaging of the harvesting operation? **Yes** 5 5

| Audit Scoring Summary   |                        |             |  |
|---|------------------------|-------------|--|
|  Self Inspection   | Score                  | 12          |  |
|   | Possible Points        | 12          |  |
|   | Percent Score          | 100%        |  |
|  Traceability  | Score                  | 15          |  |
|   | Possible Points        | 15          |  |
|   | Percent Score          | 100%        |  |
|  Ground History  | Score                  | 34          |  |
|   | Possible Points        | 34          |  |
|   | Percent Score          | 100%        |  |
|  Adjacent Land Use  | Score                  | 50          |  |
|   | Possible Points        | 50          |  |
|   | Percent Score          | 100%        |  |
|  Fertilizer/Crop Nutrition   | Score                  | 52          |  |
|   | Possible Points        | 52          |  |
|   | Percent Score          | 100%        |  |
|  Irrigation/Water Use  | Score                  | 213         |  |
|   | Possible Points        | 213         |  |
|   | Percent Score          | 100%        |  |
|  Crop Protection   | Score                  | 224         |  |
|   | Possible Points        | 224         |  |
|   | Percent Score          | 100%        |  |
|  Employee Hygiene (This applies to on-the-farm staff not the harvesting staff) | Score                  | 207         |  |
|   | Possible Points        | 207         |  |
|   | Percent Score          | 100%        |  |
|  <b>Total</b>  | <b>Score</b>           | <b>807</b>  |  |
|   | <b>Possible Points</b> | <b>807</b>  |  |
|   | <b>Percent Score</b>   | <b>100%</b> |  |
|   |                        |             |  |

### **Score System For Entire Audit**

| <b>Percentage/Category</b>    |
|-------------------------------|
| <b>95–100% Superior</b>       |
| <b>85–94% Excellent</b>       |
| <b>73–84% Standard</b>        |
| <b>61–72% Marginal</b>        |
| <b>&lt;60% Unsatisfactory</b> |