



PrimusLabs Audit (146767) Ranch V07.04 Rev. 1

Customer(s)	Santa Rosa Produce Contact: Cindi Pearson Address: 9254 N. Ralston Rd. Maricopa Arizona 85239 United States Phone Number: 520-568-9456 Ext: None Email(s): cindi@prodigy.net
Grower(s)	Santa Rosa Produce Contact: Cindi Pearson Address: 9254 North Ralston Rd. Maricopa Az. 85239 Maricopa Arizona 85239 United States Phone Number: 520 568 94 56 Email(s): cindi@prodigy.net
Ranch(s)	Shop Ranch Contact: Joe Coelho Santa Maria California United States
Audit Type:	Ranch
Foreman or Contact Person (and email):	Larry Pearson
Location/City:	Maricopa
State:	Arizona
Country:	United States
Date Audit Started:	06/02/2011 08:00
Date Audit Finished:	06/02/2011 14:00
Commodity(ies):	Cantaloupe, Honeydew
Auditor:	Gustavo Adolfo Tamayo Reyes (Tamayo, Gustavo – Subcontractor)
Audit Percentage Score:	100%
Scoring Category:	95-100% Superior
Announced Audit?	Yes
Audit Scope:	Ranch audit. Conventional



Audit Entered By: Gustavo Tamayo
Other Auditing Personnel And Role: None

Additional Report Links

- [Report and Corrective Action Summary](#)
- [See The Result Comparison](#)
- [See The Audited Entity History](#)

Corrective Actions Response

Note: This link must be used in order to notify that you are taking action in the corrective measures for questions that were not in full compliance.

Sections:

- [Self Inspection](#)
- [Traceability](#)
- [Ground History](#)
- [Adjacent Land Use](#)
- [Fertilizer/Crop Nutrition](#)
- [Irrigation/Water Use](#)
- [Crop Protection](#)
- [Employee Hygiene \(This applies to on-the-farm staff not the harvesting staff\)](#)
- [Food Security](#)

Self Inspection

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
01.01	Have Good Agricultural Practices (GAP) manuals covering each growing area within the scope of this audit (e.g., growing operation, orchard, vineyard) been developed and are they available for review?	Yes	5	5		Yes, GAP manual is available for review.	
01.02	Have documented Good Agricultural Practices (GAP)self-audits been completed for each growing area that is within the scope of this audit (e.g. growing operation, orchard, vineyard)?	Yes	7	7		Yes, self GAP audit waas conducted. Findings and corrective actions are documented.	

Traceability

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
02.01	Is the growing area(s) adequately identified or coded to enable trace back and trace forward in the event of a recall?	Yes	15	15		Yes, ranch and lot numbers have been assigned.	

Ground History

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
03.01		Yes	0	0			

	Were farming area(s) used for growing food crops for human consumption last season?				Yes, this land has been used for growing human consumption crops only.
03.02	Has the growing area(s) been used for any non-agricultural functions? If No, go to 3.03	No	7	7	No.
03.02a	If the land had been used previously for non-agricultural functions have soil tests been conducted showing soil was negative or within an appropriate regulatory agency's approved limits for contaminants?	N/A	0	0	
03.03	Has the growing area(s) been used for animal husbandry or grazing land for animals? If No, go to 3.04	No	7	7	No.
03.03a	If the land was used previously for animal husbandry or grazing land for livestock, has a risk evaluation been performed?	N/A	0	0	
03.04	Is there any evidence of animal activity in the crop that is a potential food safety risk?	No	20	20	No, there was no presence or evidence of animals activity.
03.05	Has flooding from uncontrolled causes occurred on the growing area(s) since the previous growing season? If No, go to 3.06	No	0	0	No, no flooding has occurred.
03.05a	If the growing area(s) and product was affected from the flood waters, is there documented evidence that corrective measures were taken to affected land and product?	N/A	0	0	
03.05b	Have soil tests been conducted on the flooded area(s) showing	N/A	0	0	

soil was negative or within an appropriate regulatory agency's approved limits for contaminants?

03.06	Is the growing operation under organic principles? If No, go to 3.07	No	0	0	Definition for "organic principles": A system that relies on ecosystem management rather than external agricultural inputs. http://www.fao.org/ORGANICAG/fram11-e.htm	No, only conventional crops are handled.
03.06a	Is current certification by an accredited organic certification organization on file and available for review?	N/A	0	0		
03.07	If the growing area(s) is a new purchase or lease, has a documented risk assessment been undertaken?	N/A	0	0		N/A, this is not a new lease or a new purchase.

Adjacent Land Use

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
04.01	Is the adjacent land to the growing area a possible source of contamination from intensive livestock production (e.g. feed lots, dairy operations, poultry houses, meat rendering operation)? If No, go to 4.02	No	10	10		No, no livestock operations seen in the adjacent land.	
04.01a	Have appropriate measures been taken to mitigate this possible contamination source onto the growing area (e.g. buffer areas, physical barriers, fences, ditches, etc.)?	N/A	0	0			
04.02	Are, or is there evidence of domestic animals, wild animals, grazing lands (includes homes with hobby farms, and non commercial livestock) in proximity to growing operation? If No, go to 4.03	No	10	10		No.	
04.02a	Have physical measures been put in place to restrain domestic animals, grazing lands, (includes homes with hobby farms, and non commercial livestock) and their waste from entering the growing area (e.g. vegetative strips, wind breaks, physical barriers, berms, fences, diversion ditches.)?	N/A	0	0			
04.02b	Is there a written policy supported by visual evidence that domestic, livestock, or wild animals are not allowed in the growing area? Note: This includes any packaging or equipment storage areas.	N/A	0	0			
04.02c	Are measures in place to reduce or limit the animal intrusion (i.e., monitoring field perimeter for signs of intrusion)?	N/A	0	0			
04.03	Are untreated animal manure piles, compost, biosolids, or nonsynthetic amendment stored and/or applied on adjacent land? If No, go to 4.04	No	10	10		No.	
04.03a	Have physical measures been taken to secure untreated animal manure piles, compost, biosolids, or nonsynthetic	N/A	0	0			

	amendment stored and/or applied on adjacent land?					
04.03b	If biosolids are stored and/or applied on adjacent land, has the adjacent landowner supplied paperwork confirming the biosolids meet prevailing guidelines, governmental, or local standards?	N/A	0	0		
04.04	Is the growing area situated in a higher risk location where contamination could occur from nearby operations or functions (e.g. leach fields, runoff or potential flooding from sewers, toilet systems, industrial facilities, labor camps)? If No, go to 4.05	No	10	10		NO.
04.04a	Have appropriate measures been taken to mitigate risks related to nearby operations?	N/A	0	0		
04.05	Is there a documented and implemented policy that infant or toddler aged children are not allowed in the growing area? NOTE: This includes any packaging or equipment storage areas.	Yes	10	10		Yes, minors policy has been developed.

Fertilizer/Crop Nutrition

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
05.01	Is untreated human sewage sludge used in the growing cycle? If this question is answered Yes, automatic failure of this audit will result.	No	20	20		No.	
05.02	Is compost produced from animal derived materials used by the grower? If No, go to 5.03	No	0	0		No.	
05.02a	Are compost applications incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?	N/A	0	0			
05.02b	Are there compost use records available for each growing area, including application records which shows that the interval between application and harvest was not less than 45 days?	N/A	0	0			
05.02c	Are there Certificate(s) of Analysis (CoA) from the compost supplier(s) that covers pathogen and heavy metal testing (plus any other legally/best practice required testing) and does the grower have relevant letters of guarantee regarding SOP's and logs?	N/A	0	0			
05.03	Are biosolids used? If No, go to 5.04. NOTE: Special attention to commodity specific guidelines rules (e.g., Californian Leafy Greens) which ban the use of biosolids, see 5.03d	No	0	0		No.	
05.03a	Are biosolids incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?	N/A	0	0			
05.03b	Are the grower's biosolids use records available for each growing area, especially application records?	N/A	0	0			
05.03c	Are there a Certificate(s) of Analysis (COA) from the biosolid supplier(s) certifying compliance with prevailing national/ local standards and guidelines? If this question is answered No, automatic failure of this audit will result.	N/A	0	0			

05.03d	Are biosolids being applied to crops where the country of production regulations/guidelines ban the use such materials e.g. Leafy Green Commodity Specific Guidelines in California? If this question is answered Yes, automatic failure of this audit will result.	N/A	0	0	
05.04	Is untreated animal manure used? If No, go to 5.05. NOTE: Special attention to commodity specific guidelines rules (e.g., Californian Leafy Green Commodity Specific Guidelines) which ban the use of untreated animal manures. See 5.04d	No	15	15	No.
05.04a	Is untreated animal manure incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?	N/A	0	0	
05.04b	Are there untreated animal manure records available for each growing area including application records which shows that the interval between application and harvest was not less than 120 days (unless more stringent laws or guidelines exist)?	N/A	0	0	
05.04c	Are there Certificate(s) of Analysis (COA), specification or some other document available for review provided by the untreated animal manure supplier stating the components of the material?	N/A	0	0	
05.04d	Are untreated animal manures being applied to crops where the country regulations/guidelines ban the use such materials (e.g., Californian Leafy Green Commodity Specific Guidelines)? If this question is answered Yes, automatic failure of this audit will result.	N/A	0	0	
05.05	Are other nonsynthetic crop treatments used (e.g. compost teas, fish emulsions, fish meal, blood meal, "bio fertilizers")? If No, go to 5.06	No	0	0	No.
05.05a	Are nonsynthetic treatments that contain animal products or animal manures applied to the edible portions crops?	N/A	0	0	
05.05b	Are nonsynthetic crop treatment records available for each growing area including application records demonstrating the interval between application and harvest was not less than 45 days?	N/A	0	0	
05.05c	Are there Certificate(s) of Analysis available from the nonsynthetic crop treatment suppliers that covers pathogen and heavy metal testing (plus any other legally/best practice required testing)?	N/A	0	0	
05.06	Are any soil amendments (except inorganic nutrients/fertilizers) used that do not contain animal products and/or animal manures? If No, go to 5.07	No	0	0	No.
05.06a	Are the grower's soil amendment (except inorganic nutrients/fertilizers that do not contain animal products and/or animal manures) records available for review including application records?	N/A	0	0	
05.06b	Are there Certificate(s) of Analysis (COA) and/or letters of guarantee stating that the materials used are free from animal products and/or animal manures?	N/A	0	0	
05.07	Are inorganic fertilizers used? If No, go to 5.08	Yes	0	0	Yes, only inorganic

05.07a	Are the grower's inorganic fertilizer records available for review including application records?	Yes	10	10		fertilizers are used. Yes, fertilizers applications records are available for review.
05.07b	Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the inorganic fertilizer supplier(s) that specifies the source of all the ingredients including inert materials?	Yes	7	7		Yes, letters of guarantee are on file.
05.08	If fertilizers and/or fertilizer containers are stored on the property, are they stored in a manner to prevent contamination to the growing area(s) or any of water sources?	N/A	0	0		

Irrigation/Water Use

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
06.01	Does the growing operation practice dryland farming? If No, go to 6.02.	No	0	0	This refers to crop production that relies only on direct rainfall.	No, dryland farming is not practiced.	
06.01a	If the growing operation practices dryland farming, are there water systems used in the growing operation to supply for crop needs such as crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.02	N/A	0	0			
06.01b	Are microbiological tests, including Generic E.coli conducted on water used for crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.01d.	N/A	0	0			
06.01c	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0			
06.01d	Do written procedures (SOPs) exist covering proper sampling protocols which include how samples should be identified?	N/A	0	0			
06.01e	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0			
06.01f	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0			
06.02		No	0	0	(No recommendation)	No.	

	Is the water used for the growing operation sourced from Municipal or District water pipeline systems? If No, go to 6.03				
06.02a	Are microbiological tests, including Generic E.coli conducted on water used for crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.02c.	N/A	0	0	
06.02b	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0	
06.02c	Do written procedures (SOPs) exist covering proper sampling protocols?	N/A	0	0	
06.02d	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0	
06.02e	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	
06.02f	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0	
06.02g	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0	
06.02h	Are the crops irrigated by flood irrigation or a furrow system?	N/A	0	0	
06.02i	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0	
06.03	Is the water used in the growing operation sourced from wells? If No, go to 6.04	No	0	0	(No recommendation) No.
06.03a	Are all well heads an adequate distance from untreated manure?	N/A	0	0	
06.03b	Is the well designed to prevent contamination?	N/A	0	0	
06.03c	Is it evident that the well(s) is free from contamination issues and are measures taken to minimize contamination of wells?	N/A	0	0	
06.03d		N/A	0	0	

	Are records kept for periodic inspections and treatment of wells (if performed) available for review?					
06.03e	Are microbiological tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.03g	N/A	0	0		
06.03f	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0		
06.03g	Do written procedures (SOPs) exist covering proper sampling protocols?	N/A	0	0		
06.03h	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0		
06.03i	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0		
06.03j	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0		
06.03k	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0		
06.03l	Are the crops irrigated by flood irrigation or a furrow system?	N/A	0	0		
06.03m	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0		
06.04	Is the water used in the growing operation sourced from ponds, reservoirs, watersheds or other surface water source? If No, go to 6.05	Yes	0	0	Water sourced from ponds, reservoirs, watersheds or other surface water systems may carry more of a risk for contamination than closed water sources. For surface waters, consider the impact of storm events on irrigation practices. Bacterial loads in surface water are generally much higher than normal, and caution should be exercised when using these waters for irrigation.	Yes, there is a water reservoir in use.
06.04a	Is surface water in adequate distance from untreated manure?	Yes	15	15		Yes.

06.04b	Do animals (domestic, livestock, or wild) have access to the water source?	No	7	7	No.
06.04c	Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the water source?	Yes	10	10	Yes, no contamination issues observed.
06.04d	Are records kept for the periodic visual inspections and disinfection treatments (if used) available for review?	Yes	7	7	Yes.
06.04e	Are microbiological tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.04g	Yes	20	20	Yes, water microbiological testing records include E. Coli and Total Coliforms.
06.04f	Are the microbiological tests current and conducted at the required and/or expected frequencies?	Yes	15	15	Yes, conducted annually (once per season).
06.04g	Do written procedures (SOPs) exist covering proper sampling protocols?	Yes	10	10	Yes, SOP has been developed.
06.04h	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	Yes	10	10	Yes.
06.04i	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	N/A, no abnormal results have been detected.
06.04j	Are the crops irrigated by a micro irrigation or drip system?	Yes	0	0	Yes.
06.04k	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	No	0	0	No.
06.04l	Are the crops irrigated by flood irrigation or a furrow system?	No	0	0	No.
06.04m	Are the crops sub irrigated (also known as seepage irrigation)?	No	0	0	Information gathering question. Reducing contact with edible portion of the crop is believed to reduce microbial risk.
06.05	Is the water used in the growing operation sourced from canals,	Yes	0	0	Water sourced from canals, rivers, ditches or other open flowing water Yes, irrigation water is sourced

	rivers, ditches, or other open flowing water systems? If No, go to 6.06				systems may carry more of a risk for contamination than closed water sources. For surface waters, consider the impact of storm events on irrigation practices. Bacterial loads in surface water are generally much higher than normal, and caution should be exercised when using these waters for irrigation.	from canal.
06.05a	Is surface water in adequate distance from untreated manure?	Yes	15	15		Yes.
06.05b	Is the water source under the direction of a water authority or district?	Yes	5	5		Yes.
06.05c	Do animals (domestic, livestock, or wild) have access to the water source?	No	7	7		No, animals do not have access to the water source.
06.05d	Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the water source?	Yes	10	10		Yes, no contamination issues seen.
06.05e	Are records kept for periodic visual inspection and disinfection (if occurring) of the water source and available for review?	Yes	7	7		Yes.
06.05f	Are microbial tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.05h	Yes	20	20		Yes.
06.05g	Are the microbiological tests current and conducted at the required and/or expected frequencies?	Yes	15	15		Yes, water tests are conducted once per season.
06.05h	Do written procedures (SOPs) exist covering proper sampling protocols?	Yes	10	10		Yes, SOP has been created.
06.05i	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	Yes	10	10		Yes.
06.05j	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0		N/A, no abnormal results have been detected.
06.05k	Are the crops irrigated by a micro irrigation or drip system?	Yes	0	0		Yes.
06.05l	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during	No	0	0		No.

	the mature growing cycle. This does not include pre-planting or just after planting to create a stand.				
06.05m	Are the crops irrigated by flood irrigation or furrow system?	No	0	0	No.
06.05n	Are the crops sub irrigated (also known as seepage irrigation)?	No	0	0	Information gathering question. Reducing contact with edible portion of the crop is believed to reduces microbial risk.
06.06	Is reclaimed water used in the growing operation? NOTE: This refers to wastewater that has gone through a treatment process. If No, go to 6.07.	No	0	0	No.
06.06a	Is the reclamation process under the direction of a water reclamation management or authority?	N/A	0	0	
06.06b	Are microbial control measures for reclaimed water utilized?	N/A	0	0	
06.06c	Are microbial tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.06e	N/A	0	0	
06.06d	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0	
06.06e	Do written procedures (SOPs) exist covering proper sampling protocols?	N/A	0	0	
06.06f	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0	
06.06g	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	
06.06h	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0	
06.06i	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0	

06.06j	Are the crops irrigated by flood irrigation or a furrow system?	N/A	0	0	
06.06k	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0	
06.07	Are tail water (run off water) systems used in the growing operation? If No, go to 6.08.	No	0	0	No.
06.07a	Is tail water in adequate distance from untreated manure?	N/A	0	0	
06.07b	Do animals (domestic, livestock, or wild) have access to the tail water systems?	N/A	0	0	
06.07c	Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the tail water system?	N/A	0	0	
06.07d	Are records kept for periodic visual inspection and disinfection (if occurring) of the water source and available for review?	N/A	0	0	
06.07e	Are microbial tests conducted, including Generic E.coli on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.07g	N/A	0	0	
06.07f	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0	
06.07g	Do written procedures (SOPs) exist covering proper sampling protocols?	N/A	0	0	
06.07h	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0	
06.07i	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	
06.07j	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0	
06.07k	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a	N/A	0	0	

	stand.				
06.071	Are the crops irrigated by flood irrigation or furrow system?	N/A	0	0	
06.07m	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0	
06.08	Are check valves, anti-siphon devices, or other back flow prevention systems in use when and where necessary?	Yes	10	10	Yes, check valves are fitted.
06.09	Is irrigation equipment not in use free from pest contamination and stored clean off the ground?	Yes	10	10	Yes.

Crop Protection

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
07.01	Is there a documented policy and/or procedures for the mixing/loading of crop protection materials?	Yes	5	5		Yes, SOP has been developed.	
07.01a	Is mixing, loading, or the dilution of crop protection materials performed safely and within a distance where the growing area and water sources are not affected?	Yes	7	7		Yes, there is a designated area, away from water sources and growing areas.	
07.02	Is there a documented policy and/or procedures for the rinsing and cleaning of crop protection equipment?	Yes	5	5		Yes.	
07.02a	Is rinsing and cleaning of crop protection equipment performed safely and within a distance where land and water sources are not affected?	Yes	7	7		Yes.	
07.03	Is there documentation that shows the individual(s) making decisions for crop protection are qualified?	Yes	10	10		Yes, copy of PCA's current license is on file.	
07.04	Is there documentation that shows employees who handle crop protection materials are trained or are under the supervision of a trained individual?	Yes	15	15		Yes, training records are on file.	
07.05	Does the growing operation follow a pesticide application recording program? If No, go to 7.06 If this question is answered No, automatic failure of this audit will result.	Yes	20	20		Yes.	
07.05a	Are crop protection application records up to date and available for review?	Yes	15	15		Yes, applications records are available for review.	
07.06	Are crop protection materials registered in the country of use for the target crop where official registration is in place? If this question is answered Yes, skip to question 7.07. If no official registration system is utilized answer this question N/A and go to 7.06a. Where a system exists, but a crop protection chemical being used is not registered for the target crop either "in country" or by extrapolation (see 7.06a), the response to this question is No. If this question is answered No, automatic failure of this audit will result.	Yes	20	20		Yes.	

07.06a	Does the country of production allow the use of crop protection materials that are registered for the target crop in another country, as long as the use of this material does not contravene any prevailing national and local laws in the destination country (e.g. maximum residue limit, banned lists, etc.)? If this question is answered No, automatic failure of this audit will result.	Yes	20	20	Yes.
07.07	Are crop protection applications restricted by the guidelines established by the product label, manufacturer recommendation, or by prevailing national/ local standards and guidelines? If this question is answered No, automatic failure of this audit will result.	Yes	20	20	Yes.
07.08	Where harvesting is restricted by pre-harvest intervals (as required on the crop protection chemical product labels, manufacturer recommendations and/or by prevailing national/ local standards) is the grower adhering to these pre-harvest interval time periods? If this question is answered No, automatic failure of this audit will result.	Yes	20	20	Yes.
07.09	Are employee re-entry intervals established as required by the pesticide label, manufacturer recommendation, or by prevailing national/ local standards and guidelines?	Yes	10	10	Yes.
07.10	When crop protection applications occur, does posting take place on area of treatment according to prevailing national/ local standards and guidelines?	Yes	10	10	Yes, fields are flagged after applications.
07.11	To avoid drift, are crop protection applications restricted when gusts are excessive?	Yes	10	10	Yes.
07.12	If crop protection containers are stored on the property (even temporarily), are they stored in a manner to prevent contamination and disposed of responsibly?	N/A	0	0	N/A, there were no containers being stored.
07.13	Have documented policies and/or procedures been developed for the monitoring of crop protection application equipment (e.g. calibration procedures, inspections, replacement)?	Yes	10	10	Yes, equipment calibration records are available.
07.13a	Is it evident that the equipment used for crop protection applications is in good working order?	Yes	10	10	Yes.

Employee Hygiene (This applies to on-the-farm staff not the harvesting staff)

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
08.01	Does the growing operation have a documented and implemented policy for dealing with employees who appear to be physically ill, or become ill while working?	Yes	10	10			
08.02	Does the growing operation have a documented and implemented policy regarding employees with open sores and wounds?	Yes	10	10			
08.03	Does the growing operation have a documented and implemented procedure in place requiring for all commodities that come in contact with blood to be destroyed? If this question is answered No, automatic failure of this audit will result.	Yes	20	20		Yes, blood and bodily fluids policy has been developed.	

08.04	Does the growing operation have documented and implemented policies prohibiting eating, drinking (including gum chewing) using tobacco in the growing area?	Yes	10	10	
08.05	Is there a food safety hygiene training program covering new and existing employees and are there records of these training events?	Yes	15	15	Yes, food safety orientation training records are available for review. Topics covered and attendees are logged.
08.06	Are there operational toilet facilities provided? If NO, go to 8.07. If this question is answered No, the audit will result in an automatic failure.	Yes	20	20	
08.06a	Are the toilet facilities placed within ¼ mile or 5 minutes walking distance of all employees?	Yes	10	10	
08.06b	Are toilet facilities in a suitable location to prevent contamination to the growing area?	Yes	15	15	
08.06c	Is a minimum of one toilet facility provided for each group of 20 employees?	Yes	5	5	Yes, toilets are adequate in number and location.
08.06d	Do toilet facilities have visuals or signs, written in the appropriate languages, reminding employees to wash their hands before returning to work?	Yes	2	2	Yes, hand washing reminder signs are posted outside the toilet units.
08.06e	Are the toilets maintained in a clean and sanitary condition and are there records showing toilet cleaning, servicing and stocking is occurring regularly?	Yes	10	10	
08.06f	Are the catch basins of the toilets designed and maintained to prevent contamination in the field (e.g. free from leaks and cracks)?	Yes	5	5	
08.06g	Is there a documented and implemented procedure for emptying the catch basin in a hygienic manner and also in a way that prevent product, packaging, equipment and water system contamination?	Yes	5	5	
08.07	Is there evidence of fecal contamination in proximity to the growing area or any storage areas? (This refer to a single account of human or domestic animal fecal matter and/or systematic discoveries of wild animal manure) If this question is answered Yes, automatic failure of this audit will result.	No	20	20	No, no fecal contamination issues observed.
08.08	Are there operational hand washing facilities provided? If No, go to 8.09	Yes	15	15	Yes, hand washing stations are provided.
08.08a	Are the hand washing facilities placed within ¼ mile or 5 minutes walking distance of all employees?	Yes	10	10	
08.08b	Are hand wash stations clearly visible (e.g. situated outside the toilet facility) and easily accessible to workers?	Yes	0	0	Yes, stations are placed outside the toilet facilities.
08.08c	Are hand wash stations properly stocked with soap, paper towels and trash can?	Yes	5	5	
08.08d		Yes	5	5	

	Are the hand wash stations designed and being maintained to prevent contamination onto the growing area (i.e. spent water does not go straight to the ground)?					
08.08e	Does the growing operation have a documented and implemented policy and procedure in place requiring employees to wash their hands (e.g. prior to beginning work, after breaks, after toilet use)?	Yes	10	10		Yes, as part of the GAP policies.
08.09	Is fresh potable drinking water provided for workers? If No, go to 8.10	Yes	10	10		
08.09a	If used, are water containers maintained in a clean condition?	Yes	5	5		
08.10	Are first-aid kits available and is the inventory maintained properly?	Yes	5	5		Yes, first aid kits are correctly stocked.
08.11	Are there trash cans available on the farm placed in suitable locations?	Yes	5	5		
08.12	Are there any foreign material issues observed that are or could be potential risks to the product in the growing area (e.g jewelry)?	No	5	5		No, no foreign materials contamination issues observed.

Food Security

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
09.01	Are measures taken to control vehicle access to the growing area? (specified access roads, furrows, etc)?	No	0	5	http://www.usda.gov/documents/PreHarvestSecurity_final.pdf	No, there are only community roads.	
09.02	Are postings such as "No Trespassing" and/or " Restricted Entry" used appropriately?	Yes	5	5			
09.03	Are entrances to ranch-level roads restricted by gates, chains, guard stations, etc.?	Yes	5	5		Yes, gates are kept closed.	
09.04	Are wells or other water sources secured and designed to prohibit tampering?	Yes	5	5			
09.05	Are grounds and facilities monitored by either in house or contracted personnel?	Yes	5	5			

09.06	Are staff members instructed to note and report suspicious activity?	Yes	5	5	
09.07	Are high risk areas (e.g. spray equipment, equipment shops, sheds) monitored?	Yes	5	5	
09.08	Is equipment examined for tampering prior to use?	Yes	5	5	
09.09	Are company supervisors required to undergo documented security training with attendance records?	Yes	5	5	Yes, food security training records are on file.
09.10	Is education material related to security made available?	Yes	5	5	
09.11	Are inventory records of agricultural chemicals maintained? If No, go to 9.12	Yes	5	5	
09.11a	Are the inventory records periodically reconciled with inventory?	Yes	5	5	
09.12	Are all personal materials kept away from the harvest and packaging of the harvesting operation?	Yes	5	5	Yes, no personal belongings seen in the fields.

Audit Scoring Summary			
	Self Inspection	Score 12 Possible Points 12 Percent Score 100%	
		Traceability	Score 15 Possible Points 15 Percent Score 100%
			Ground History

<input checked="" type="checkbox"/> Adjacent Land Use	Percent Score	100%
	Score	50
	Possible Points	50
<input checked="" type="checkbox"/> Fertilizer/Crop Nutrition	Percent Score	100%
	Score	52
	Possible Points	52
<input checked="" type="checkbox"/> Irrigation/Water Use	Percent Score	100%
	Score	213
	Possible Points	213
<input checked="" type="checkbox"/> Crop Protection	Percent Score	100%
	Score	214
	Possible Points	214
<input checked="" type="checkbox"/> Employee Hygiene (This applies to on-the-farm staff not the harvesting staff)	Percent Score	100%
	Score	232
	Possible Points	232
<input checked="" type="checkbox"/> Total	Percent Score	100%
	Score	822
	Possible Points	822
	Percent Score	100%

Score System For Entire Audit

Percentage/Category
95–100% Superior
85–94% Excellent
73–84% Standard
61–72% Marginal
<60% Unsatisfactory