

PrimusLabs.com Audit (110324) Ranch v07.04

Customer(s)	<p>Santa Rosa Produce Contact: <u>Cindi Pearson</u> Address: 9254 N. Ralston Rd. Maricopa Arizona 85239 United States Phone Number: 520-568-9456 Ext: None Email(s): <u>cindi@prodigy.net</u></p>
Grower(s)	<p>Santa Rosa Produce Contact: <u>Cindi Pearson</u> Address: 9254 North Ralston Rd. Maricopa Az. 85239 Maricopa Arizona 85239 United States Phone Number: 520 568 94 56 Email(s): <u>cindi@prodigy.net</u></p>
Ranch(s)	<p>Loving Way Contact: <u>Cindi Pearson</u> Address: . Maricopa Arizona 85239 United States Phone Number: 602-266-7994 Email(s): <u>Cindi@prodigy.net</u></p>
Audit Type:	Ranch
Foreman or Contact Person (and email):	Cindi Pearson (<u>cindi@prodigy.net</u>)
Location/City:	Maricopa
State:	Arizona
Country:	United States
Date Audit Started:	10/07/2009 08:00
Date Audit Finished:	10/07/2009 10:00
Commodity(ies):	Cantaloupe, Honeydew
Auditor:	<u>Isaac Santos</u> (Santos, Isaac – Sub Contractor)
Audit Percentage Score:	100%
Scoring Category:	95-100% Superior
Announced Audit?	Yes
Audit Scope:	Lovingway Ranch: Conventional cantaloupes and honeydew melons are grown at this operation, in field packed. Ranch perimeter, internal ranch

roads, water sources, storage areas and food safety documentation, were observed and reviewed.

Audit Entered By: Isaac Santos.
Other Auditing Personnel And Role: N/A.

Additional Report Links

- [See Severity Answers & Recommendations](#)
- [See The Result Comparison](#)
- [See The Audited Entity History](#)

Corrective Actions Response

Note: This link must be used in order to notify that you are taking action in the corrective measures for questions that were not in full compliance.

Sections:

- [Self Inspection](#)
- [Traceability](#)
- [Ground History](#)
- [Adjacent Land Use](#)
- [Fertilizer/Crop Nutrition](#)
- [Irrigation/Water Use](#)
- [Crop Protection](#)
- [Employee Hygiene \(This applies to on-the-farm staff not the harvesting staff\)](#)
- [Food Security](#)

Self Inspection

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
01.01	Have Good Agricultural Practices (GAP) manuals covering each growing area within the scope of this audit (e.g., growing operation, orchard, vineyard) been developed and are they available for review?	Yes	5	5		Yes. Complete GAP manuals were available.	
01.02	Have documented Good Agricultural Practices (GAP)self-audits been completed for each growing area that is within the scope of this audit (e.g. growing operation, orchard, vineyard)?	Yes	7	7		Yes. GAP self-audit for the growing area was conducted by Cindi Pearson on October 1 /09.	

*Where laws, commodity specific guidelines and/or best practice recommendations exist and are derived from a reputable source, then these practices and parameters should be used. Audit users should allow a degree of risk association if laws, guidelines, best practices, etc., have not been documented.

Traceability

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
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02.01 Is the growing area(s) adequately identified or coded to enable trace back and trace forward in the event of a recall? **Yes** 15 15 **Yes. Maps of the growing area with blocks were developed by the grower.**

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Ground History							
Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
03.01	Were farming area(s) used for growing food crops for human consumption last season?	Yes	0	0		Yes. Food crops for human consumption were grown the last season.	
03.02	Has the growing area(s) been used for any non-agricultural functions? If No, go to 3.03	No	7	7		No. The growing area has not been used for any non-agricultural functions.	
03.02a	If the land had been used previously for non-agricultural functions have soil tests been conducted showing soil was negative or within an appropriate regulatory agency's approved limits for contaminants?	N/A	0	0		N/A. Score is not affected. Refer to 03.02	
03.03	Has the growing area(s) been used for animal husbandry or grazing land for animals? If No, go to 3.04	No	7	7		No. The growing area has not been used for animal husbandry or grazing land for animals.	
03.03a	If the land was used previously for animal husbandry or grazing land for livestock, has a risk evaluation been performed?	N/A	0	0		N/A. Score is not affected. Refer to 03.03	
03.04	Is there any evidence of animal activity in the crop that is a potential food safety risk?	No	20	20		No. There was no evidence of animal activity in the crop and/or growing areas.	
03.05	Has flooding from uncontrolled causes occurred on the	No	0	0		No. The growing area has not been flooded from	

	growing area(s) since the previous growing season? If No, go to 3.06					uncontrolled causes.
03.05a	If the growing area(s) and product was affected from the flood waters, is there documented evidence that corrective measures were taken to affected land and product?	N/A	0	0		N/A. Score is not affected. Refer to 03.05
03.05b	Have soil tests been conducted on the flooded area(s) showing soil was negative or within an appropriate regulatory agency's approved limits for contaminants?	N/A	0	0		N/A. Score is not affected. Refer to 03.05
03.06	Is the growing operation under organic principles? If No, go to 3.07	No	0	0	A system that relies on ecosystem management rather than external agricultural inputs. http://www.fao.org/ORGANICAG/fram11-e.htm	No. The growing area is not operating under organic principles.
03.06a	Is current certification by an accredited organic certification organization on file and available for review?	N/A	0	0		N/A. Score is not affected. Refer to 03.06
03.07	If the growing area(s) is a new purchase or lease, has a documented risk assessment been undertaken?	N/A	0	0		N/A. Score is not affected. The growing area is not a new purchase or lease.

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Adjacent Land Use

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
04.01	Is the adjacent land to the growing area a possible source of contamination from intensive livestock production (e.g. feed lots, dairy operations, poultry houses, meat rendering operation)? If No, go to 4.02	No	10	10		No. The adjacent land to the growing area, is not a possible source of contamination, no livestock was observed in proximity of the operation.	

04.01a	Have appropriate measures been taken to mitigate this possible contamination source onto the growing area (e.g. buffer areas, physical barriers, fences, ditches, etc.)?	N/A	0	0	N/A. Score is not affected. Refer to 04.01
04.02	Are, or is there evidence of domestic animals, wild animals, grazing lands (includes homes with hobby farms, and non commercial livestock) in proximity to growing operation? If No, go to 4.03	No	10	10	No. Animals, were not observed in proximity to growing operation.
04.02a	Have physical measures been put in place to restrain domestic animals, grazing lands, (includes homes with hobby farms, and non commercial livestock) and their waste from entering the growing area (e.g. vegetative strips, wind breaks, physical barriers, berms, fences, diversion ditches.)?	N/A	0	0	N/A. Score is not affected. Refer to 04.02
04.02b	Is there a written policy supported by visual evidence that domestic, livestock, or wild animals are not allowed in the growing area? Note: This includes any packaging or equipment storage areas.	N/A	0	0	N/A. Score is not affected. Refer to 04.02
04.02c	Are measures in place to reduce or limit the animal intrusion (i.e., monitoring field perimeter for signs of intrusion)?	N/A	0	0	N/A. Score is not affected. Refer to 04.02
04.03	Are untreated animal manure piles, compost, biosolids, or nonsynthetic amendment stored and/or applied on adjacent land? If No, go to 4.04	No	10	10	No. Untreated animal manure piles, compost, biosolids, or nonsynthetic amendments, were not observed or applied on adjacent land.
04.03a	Have physical measures been taken to secure untreated animal manure piles, compost, biosolids, or nonsynthetic amendment stored and/or applied on adjacent land?	N/A	0	0	N/A. Score is not affected. Refer to 04.03
04.03b	If biosolids are stored and/or applied on adjacent land, has the adjacent landowner supplied paperwork confirming the biosolids meet prevailing guidelines, governmental, or local standards?	N/A	0	0	N/A. Score is not affected. Refer to 04.03
04.04	Is the growing area situated in a higher risk location where contamination could occur from nearby operations or functions (e.g. leach fields, runoff or potential flooding from sewers, toilet systems, industrial facilities, labor camps)? If No, go to 4.05	No	10	10	No. The growing area is not situated in a higher risk location.
04.04a	Have appropriate measures been taken to mitigate risks related to nearby operations?	N/A	0	0	N/A. Score is not affected. Refer to 04.04
04.05	Is there a documented and implemented policy that infant or toddler aged children are not allowed in the growing area? NOTE: This includes any packaging or equipment storage areas.	Yes	10	10	Yes. Policy that infant or toddler aged children are not allowed in the growing area, was documented and implemented.

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Fertilizer/Crop Nutrition

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
05.01	Is untreated human sewage sludge used in the growing cycle? If this question is answered Yes, automatic failure of this audit will result.	No	20	20		No. Untreated human sewage sludge was not used by the grower.	
05.02	Is compost produced from animal derived materials used by the grower? If No, go to 5.03	No	0	0		No. Compost produced from animal derived materials, were not applied at this ranch.	
05.02a	Are compost applications incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?	N/A	0	0		N/A. Score is not affected. Refer to 05.02	
05.02b	Are there compost use records available for each growing area, including application records which shows that the interval between application and harvest was not less than 45 days?	N/A	0	0		N/A. Score is not affected. Refer to 05.02	
05.02c	Are there Certificate(s) of Analysis (CoA) from the compost supplier(s) that covers pathogen and heavy metal testing (plus any other legally/best practice required testing) and does the grower have relevant letters of guarantee regarding SOP's and logs?	N/A	0	0		N/A. Score is not affected. Refer to 05.02	
05.03	Are biosolids used? If No, go to 5.04. NOTE: Special attention to commodity specific guidelines rules (e.g., Californian Leafy Greens) which ban the use of biosolids, see 5.03d	No	0	0		No. Biosolids were not used.	
05.03a	Are biosolids incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?	N/A	0	0		N/A. Score is not affected. Refer to 05.03	
05.03b	Are the grower's biosolids use records available for each growing area, especially application records?	N/A	0	0		N/A. Score is not affected. Refer to 05.03	
05.03c	Are there a Certificate(s) of Analysis (COA) from the biosolid supplier(s) certifying compliance with prevailing national/ local standards and guidelines? If this question is answered No, automatic failure of this audit will result.	N/A	0	0		N/A. Score is not affected. Refer to 05.03	
05.03d	Are biosolids being applied to crops where the country of production regulations/guidelines ban the use such materials e.g. Leafy Green Commodity Specific Guidelines in California? If this question is answered Yes, automatic failure of this audit will result.	N/A	0	0		N/A. Score is not affected. Refer to 05.03	
05.04	Is untreated animal manure used? If No, go to	No	15	15		No. Untreated animal	

	5.05. NOTE: Special attention to commodity specific guidelines rules (e.g., Californian Leafy Green Commodity Specific Guidelines) which ban the use of untreated animal manures. See 5.04d				manure was not used.
05.04a	Is untreated animal manure incorporated into the soil prior to planting or bud burst for tree crops and not applied during the growing season?	N/A	0	0	N/A. Score is not affected. Refer to 05.04
05.04b	Are there untreated animal manure records available for each growing area including application records which shows that the interval between application and harvest was not less than 120 days (unless more stringent laws or guidelines exist)?	N/A	0	0	N/A. Score is not affected. Refer to 05.04
05.04c	Are there Certificate(s) of Analysis (COA), specification or some other document available for review provided by the untreated animal manure supplier stating the components of the material?	N/A	0	0	N/A. Score is not affected. Refer to 05.04
05.04d	Are untreated animal manures being applied to crops where the country regulations/guidelines ban the use such materials (e.g., Californian Leafy Green Commodity Specific Guidelines)? If this question is answered Yes, automatic failure of this audit will result.	N/A	0	0	N/A. Score is not affected. Refer to 05.04
05.05	Are other nonsynthetic crop treatments used (e.g. compost teas, fish emulsions, fish meal, blood meal,"bio fertilizers")? If No, go to 5.06	No	0	0	No. Non-synthetic products containing materials derived from animals, were not applied.
05.05a	Are nonsynthetic treatments that contain animal products or animal manures applied to the edible portions crops?	N/A	0	0	N/A. Score is not affected. Refer to 05.05
05.05b	Are nonsynthetic crop treatment records available for each growing area including application records demonstrating the interval between application and harvest was not less than 45 days?	N/A	0	0	N/A. Score is not affected. Refer to 05.05
05.05c	Are there Certificate(s) of Analysis available from the nonsynthetic crop treatment suppliers that covers pathogen and heavy metal testing (plus any other legally/best practice required testing)?	N/A	0	0	N/A. Score is not affected. Refer to 05.05
05.06	Are any soil amendments (except inorganic nutrients/fertilizers) used that do not contain animal products and/or animal manures? If No, go to 5.07	No	0	0	No. Soil amendments were not used.
05.06a	Are the grower's soil amendment (except inorganic nutrients/fertilizers) that do not contain animal products and/or animal manures records available for review including application records?	N/A	0	0	N/A. Score is not affected. Refer to 05.06
05.06b		N/A	0	0	

	Are there Certificate(s) of Analysis (COA) and/or letters of guarantee stating that the materials used are free from animal products and/or animal manures?					N/A. Score is not affected. Refer to 05.06
05.07	Are inorganic fertilizers used? If No, go to 5.08	Yes	0	0		Yes. Inorganic/synthetic fertilizers as CAN-17, UN-32 and 0-52-0, were used.
05.07a	Are the grower's inorganic fertilizer records available for review including application records?	Yes	10	10		Yes. Inorganic fertilizer records of application, were available for review.
05.07b	Are there Certificate(s) of Analysis (COA), letters of guarantee or some other documents from the inorganic fertilizer supplier(s) that specifies the source of all the ingredients including inert materials?	Yes	7	7		Yes. Letters of guarantee from their inorganic fertilizers suppliers, were available for review.
05.08	If fertilizers and/or fertilizer containers are stored on the property, are they stored in a manner to prevent contamination to the growing area(s) or any of water sources?	Yes	3	3		Yes.

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Irrigation/Water Use							
Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/Picture
06.01	Does the growing operation practice dryland farming? If No, go to 6.02.	No	0	0	This refers to crop production that relies only on direct rainfall.	No. The growing operation does not practice dryland farming.	
06.01a	If the growing operation practices dryland farming, are there water systems used in the growing operation to supply for crop needs such as crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.02	N/A	0	0		N/A. Score is not affected. Refer to 06.01	
06.01b	Are microbiological tests, including Generic E.coli conducted on water used for crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.01d.	N/A	0	0		N/A. Score is not affected. Refer to 06.01	
06.01c	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0		N/A. Score is not affected. Refer to 06.01	
06.01d	Do written procedures (SOPs) exist covering proper sampling protocols which include how samples should be identified?	N/A	0	0		N/A. Score is not affected. Refer to 06.01	
06.01e		N/A	0	0			

	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?					N/A. Score is not affected. Refer to 06.01
06.01f	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0		N/A. Score is not affected. Refer to 06.01
06.02	Is the water used for the growing operation sourced from Municipal or District water pipeline systems? If No, go to 6.03	Yes	0	0		Yes. The water used for the growing operation is sourced by Maricopa Stanfield Irrigation & drainage district (MSIDD).
06.02a	Are microbiological tests, including Generic E.coli conducted on water used for crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.02c.	Yes	20	20		Yes. There was a microbiological irrigation water tests, conducted on 9/21/09 by FSNS for E.C., reporting 130 MPN/100 ml.
06.02b	Are the microbiological tests current and conducted at the required and/or expected frequencies?	Yes	15	15		Yes.
06.02c	Do written procedures (SOPs) exist covering proper sampling protocols?	Yes	10	10		Yes. SOPs for proper sampling protocols, were available.
06.02d	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	Yes	10	10		Yes. SOPs were kept on file.
06.02e	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0		N/A. Score is not affected. Abnormal results were not detected.
06.02f	Are the crops irrigated by a micro irrigation or drip system?	Yes	0	0		Yes. The crops are irrigated by a drip system.
06.02g	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	No	0	0		No. The crops are not irrigated overhead.
06.02h	Are the crops irrigated by flood irrigation or a furrow system?	No	0	0		No. The crops are not irrigated by flood irrigation or a furrow system.
06.02i	Are the crops sub irrigated (also known as seepage irrigation)?	No	0	0	Information gathering question. Reducing contact with edible portion of the crop is believed to reduces microbial risk.	No. The crops are not sub irrigated.
06.03	Is the water used in the growing operation sourced from wells? If No, go	No	0	0	(No recommendation)	No. The water used in the growing operation is not

	to 6.04					
06.03a	Are all well heads an adequate distance from untreated manure?	N/A	0	0		sourced from wells. N/A. Score is not affected. Refer to 06.03
06.03b	Is the well designed to prevent contamination?	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.03c	Is it evident that the well(s) is free from contamination issues and are measures taken to minimize contamination of wells?	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.03d	Are records kept for periodic inspections and treatment of wells (if performed) available for review?	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.03e	Are microbiological tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.03g	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.03f	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.03g	Do written procedures (SOPs) exist covering proper sampling protocols?	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.03h	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.03i	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.03j	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.03k	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.03l	Are the crops irrigated by flood irrigation or a furrow system?	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.03m	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0		N/A. Score is not affected. Refer to 06.03
06.04	Is the water used in the growing operation sourced from ponds, reservoirs, watersheds or other surface water source? If No, go to 6.05	No	0	0		No. The water used in the growing operation, is not sourced from ponds, reservoirs, watersheds or other surface water source.
06.04a	Is surface water in adequate distance from untreated manure?	N/A	0	0		N/A. Score is not affected. Refer to 06.04
06.04b	Do animals (domestic, livestock, or	N/A	0	0		N/A. Score is not affected.

	wild) have access to the water source?				Refer to 06.04
06.04c	Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the water source?	N/A	0	0	N/A. Score is not affected. Refer to 06.04
06.04d	Are records kept for the periodic visual inspections and disinfection treatments (if used) available for review?	N/A	0	0	N/A. Score is not affected. Refer to 06.04
06.04e	Are microbiological tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.04g	N/A	0	0	N/A. Score is not affected. Refer to 06.04
06.04f	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0	N/A. Score is not affected. Refer to 06.04
06.04g	Do written procedures (SOPs) exist covering proper sampling protocols?	N/A	0	0	N/A. Score is not affected. Refer to 06.04
06.04h	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0	N/A. Score is not affected. Refer to 06.04
06.04i	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	N/A. Score is not affected. Refer to 06.04
06.04j	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0	N/A. Score is not affected. Refer to 06.04
06.04k	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0	N/A. Score is not affected. Refer to 06.04
06.04l	Are the crops irrigated by flood irrigation or a furrow system?	N/A	0	0	N/A. Score is not affected. Refer to 06.04
06.04m	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0	N/A. Score is not affected. Refer to 06.04
06.05	Is the water used in the growing operation sourced from canals, rivers, ditches, or other open flowing water systems? If No, go to 6.06	No	0	0	No. The water used in the growing operation, is not sourced from canals, rivers, ditches, or other open flowing water systems.
06.05a	Is surface water in adequate distance from untreated manure?	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05b	Is the water source under the direction of a water authority or district?	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05c	Do animals (domestic, livestock, or wild) have access to the water source?	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05d	Is it evident that the water source is free	N/A	0	0	N/A. Score is not affected.

	of contamination issues and are measures taken to minimize contamination of the water source?				Refer to 06.05
06.05e	Are records kept for periodic visual inspection and disinfection (if occurring) of the water source and available for review?	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05f	Are microbial tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.05h	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05g	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05h	Do written procedures (SOPs) exist covering proper sampling protocols?	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05i	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05j	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05k	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05l	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05m	Are the crops irrigated by flood irrigation or furrow system?	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.05n	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0	N/A. Score is not affected. Refer to 06.05
06.06	Is reclaimed water used in the growing operation? NOTE: This refers to wastewater that has gone through a treatment process. If No, go to 6.07.	No	0	0	No. Reclaimed water is not used in the growing operation.
06.06a	Is the reclamation process under the direction of a water reclamation management or authority?	N/A	0	0	N/A. Score is not affected. Refer to 06.06
06.06b	Are microbial control measures for reclaimed water utilized?	N/A	0	0	N/A. Score is not affected. Refer to 06.06
06.06c	Are microbial tests, including Generic E.coli conducted on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.06e	N/A	0	0	N/A. Score is not affected. Refer to 06.06
06.06d		N/A	0	0	

	Are the microbiological tests current and conducted at the required and/or expected frequencies?				N/A. Score is not affected. Refer to 06.06
06.06e	Do written procedures (SOPs) exist covering proper sampling protocols?	N/A	0	0	N/A. Score is not affected. Refer to 06.06
06.06f	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0	N/A. Score is not affected. Refer to 06.06
06.06g	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	N/A. Score is not affected. Refer to 06.06
06.06h	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0	N/A. Score is not affected. Refer to 06.06
06.06i	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0	N/A. Score is not affected. Refer to 06.06
06.06j	Are the crops irrigated by flood irrigation or a furrow system?	N/A	0	0	N/A. Score is not affected. Refer to 06.06
06.06k	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0	N/A. Score is not affected. Refer to 06.06
06.07	Are tail water (run off water) systems used in the growing operation? If No, go to 6.08.	No	0	0	No. Tail water systems are not used in the growing operation.
06.07a	Is surface water in adequate distance from untreated manure?	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.07b	Do animals (domestic, livestock, or wild) have access to the tail water systems?	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.07c	Is it evident that the water source is free of contamination issues and are measures taken to minimize contamination of the tail water system?	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.07d	Are records kept for periodic visual inspection and disinfection (if occurring) of the water source and available for review?	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.07e	Are microbial tests conducted, including Generic E.coli on water used for irrigation, crop protection/fertilizer applications, and frost or freeze prevention program? If No, go to 6.07g	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.07f	Are the microbiological tests current and conducted at the required and/or expected frequencies?	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.07g	Do written procedures (SOPs) exist covering proper sampling protocols?	N/A	0	0	N/A. Score is not affected. Refer to 06.07

06.07h	Do written procedures (SOPs) exist covering corrective measures for unsuitable or abnormal water testing results?	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.07i	If unsuitable or abnormal results have been detected, have documented corrective measures been performed?	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.07j	Are the crops irrigated by a micro irrigation or drip system?	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.07k	Is overhead irrigation used to irrigate the crop or as part of a frost or freeze prevention program? NOTE: "Irrigating the crop" refers to irrigation during the mature growing cycle. This does not include pre-planting or just after planting to create a stand.	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.07l	Are the crops irrigated by flood irrigation or furrow system?	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.07m	Are the crops sub irrigated (also known as seepage irrigation)?	N/A	0	0	N/A. Score is not affected. Refer to 06.07
06.08	Are check valves, anti-siphon devices, or other back flow prevention systems in use when and where necessary?	Yes	10	10	Yes. Check valves are used to prevent water back flow.
06.09	Is irrigation equipment that is not in use free from pest contamination and stored clean off the ground?	N/A	0	0	N/A. Score is not affected. Unused irrigation equipment was not observed in the operation.

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Crop Protection

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
07.01	Is there a documented policy and/or procedures for the mixing/loading of crop protection materials?	Yes	5	5		Yes. There was a documented policy for the mixing/loading of plant protection materials.	
07.01a	Is mixing, loading, or the dilution of crop protection materials performed safely and within a distance where the growing area and water sources are not affected?	N/A	0	0		N/A. Score is not affected. Plant protection products applications were not observed.	
07.02	Is there a documented policy and/or procedures for the rinsing and cleaning of crop protection equipment?	Yes	5	5		Yes. There was a documented policy and procedure for the rinsing and cleaning of plant protection application equipment.	

07.02a	Is rinsing and cleaning of crop protection equipment performed safely and within a distance where land and water sources are not affected?	N/A	0	0	N/A. Score is not affected. Plant protection products applications were not observed.
07.03	Is there documentation that shows the individual(s) making decisions for crop protection are qualified?	Yes	10	10	Yes. The person who make decisions for plant protection products applications is PCA Cindi Pearson.
07.04	Is there documentation that shows employees who handle crop protection materials are trained or are under the supervision of a trained individual?	Yes	15	15	Yes. Employees who handle crop protection materials have been trained and work under supervision.
07.05	Does the growing operation follow a pesticide application recording program? If No, go to 7.06 If this question is answered No, automatic failure of this audit will result.	Yes	20	20	Yes. All plant protection products applications are recorded.
07.05a	Are crop protection application records up to date and available for review?	Yes	15	15	Yes. Plant protection application records were up to date and available for review.
07.06	Are crop protection materials registered in the country of use for the target crop where official registration is in place? If this question is answered Yes, skip to question 7.07. If no official registration system is utilized answer this question N/A and go to 7.06a. Where a system exists, but a crop protection chemical being used is not registered for the target crop either "in country" or by extrapolation (see 7.06a), the response to this question is No. If this question is answered No, automatic failure of this audit will result.	Yes	20	20	Yes.
07.06a	Does the country of production allow the use of crop protection materials that are registered for the target crop in another country, as long as the use of this material does not contravene any prevailing national and local laws in the destination country (e.g. maximum residue limit, banned lists, etc.)? If this question is answered No, automatic failure of this audit will result.	N/A	0	0	N/A. Score is not affected. Refer to 07.06
07.07	Are crop protection applications restricted by the guidelines established by the product label, manufacturer recommendation, or by prevailing national/ local standards and guidelines? If this question is answered No, automatic failure of this audit will result.	Yes	20	20	Yes.
07.08	Where harvesting is restricted by pre-harvest intervals (as required on the crop protection chemical product labels, manufacturer recommendations and/or by prevailing national/ local standards) is the grower adhering to these pre-harvest interval time periods? If this question is answered No, automatic	Yes	20	20	Yes. PHI are observed.

	failure of this audit will result.					
07.09	Are employee reentry intervals established as required by the pesticide label, manufacturer recommendation, or by prevailing national/ local standards and guidelines?	Yes	10	10		Yes. REI are monitored.
07.10	When crop protection applications occur, does posting take place on area of treatment according to prevailing national/ local standards and guidelines?	N/A	0	0		N/A. Score is not affected. Plant protection products applications were not observed, the auditee verbally explained that posting is used.
07.11	To avoid drift, are crop protection applications restricted when gusts are excessive?	N/A	0	0		N/A. Score is not affected. Plant protection applications were not observed.
07.12	If crop protection containers are stored on the property (even temporarily), are they stored in a manner to prevent contamination and disposed of responsibly?	N/A	0	0		N/A. Score is not affected. Crop protection containers were not stored on the property.
07.13	Have documented policies and/or procedures been developed for the monitoring of crop protection application equipment (e.g. calibration procedures, inspections, replacement)?	Yes	10	10		Yes. A documented procedure was developed for the monitoring of crop protection application equipment.
07.13a	Is it evident that the equipment used for crop protection applications is in good working order?	N/A	0	0		N/A. Score is not affected. Plant protection products applications, were not observed.

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Employee Hygiene (This applies to on-the-farm staff not the harvesting staff)

Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
08.01	Does the growing operation have a documented and implemented policy for dealing with employees who appear to be physically ill, or become ill while working?	Yes	10	10		Yes. Documented/implemented policy, was available.	
08.02	Does the growing operation have a documented and implemented policy regarding employees with open sores and wounds?	Yes	10	10		Yes. Documented and implemented policy observed.	
08.03	Does the growing operation have a documented and implemented	Yes	20	20		Yes. Blood policy documented and implemented.	

procedure in place requiring for all commodities that come in contact with blood to be destroyed? If this question is answered No, automatic failure of this audit will result.

08.04	Does the growing operation have documented and implemented policies prohibiting eating, drinking (including gum chewing) using tobacco in the growing area?	Yes	10	10	Yes. Policy documented and implemented.
08.05	Is there a food safety hygiene training program covering new and existing employees and are there records of these training events?	Yes	15	15	Yes. Food safety hygiene training records for new and existing employees, were available.
08.06	Are there operational toilet facilities provided? If NO, go to 8.07. If this question is answered No, the audit will result in an automatic failure.	Yes	20	20	Yes. Operational toilet facilities were provided for employees.
08.06a	Are the toilet facilities placed within ¼ mile or 5 minutes walking distance of all employees?	Yes	10	10	Yes.
08.06b	Are toilet facilities in a suitable location to prevent contamination to the growing area?	Yes	15	15	Yes. The toilet facilities were situated out of the growing area to prevent contamination.
08.06c	Is a minimum of one toilet facility provided for each group of 20 employees?	Yes	5	5	Yes.
08.06d	Do toilet facilities have visuals or signs, written in the appropriate languages, reminding employees to wash their hands before returning to work?	Yes	2	2	Yes.
08.06e	Are the toilets maintained in a clean and sanitary condition and are there records showing toilet cleaning, servicing and stocking is occurring regularly?	Yes	10	10	Yes. The toilets were clean and sanitary, cleaning records were available.
08.06f	Are the catch basins of the toilets designed and maintained to prevent contamination in the field (e.g. free from leaks and cracks)?	Yes	5	5	Yes. No leaks or cracks were observed on the toilet's catch basins.
08.06g	Is there a documented and implemented procedure for emptying the catch basin in a hygienic manner and also in a way that prevent product, packaging, equipment and water system contamination?	Yes	5	5	Yes.
08.07	Is there evidence of human fecal contamination in the growing area? If this question is answered Yes, automatic failure of this audit will result.	No	20	20	No. There was no evidence of human fecal contamination in the growing area.

08.08	Are there operational hand washing facilities provided? If No, go to 8.09	Yes	15	15	Yes. Hand washing facilities, were available.
08.08a	Are the hand washing facilities placed within ¼ mile or 5 minutes walking distance of all employees?	Yes	10	10	Yes. The hand washing facilities were situated closer than ¼ mile or 5 minutes walking distance of all employees.
08.08b	Are hand wash stations clearly visible (e.g. situated outside the toilet facility) and easily accessible to workers?	Yes	0	0	Yes. All hand wash stations were situated on a very visible site.
08.08c	Are hand wash stations properly stocked with soap, paper towels and trash can?	Yes	5	5	Yes. The hand washing stations were properly stocked.
08.08d	Are the hand wash stations designed and being maintained to prevent contamination onto the growing area (i.e. spent water does not go straight to the ground)?	Yes	5	5	Yes. The hand washing stations are designed to capture the rinsing water.
08.08e	Does the growing operation have a documented and implemented policy and procedure in place requiring employees to wash their hands (e.g. prior to beginning work, after breaks, after toilet use)?	Yes	10	10	Yes.
08.09	Is fresh potable drinking water provided for workers? If No, go to 8.10	Yes	10	10	Yes. Fresh potable drinking water was provided for workers.
08.09a	If used, are water containers maintained in a clean condition?	Yes	5	5	Yes. The water containers were kept in a clean condition.
08.10	Are first-aid kits available and is the inventory maintained properly?	Yes	5	5	Yes. Properly stocked first-aid kits were available.
08.11	Are there trash cans available on the farm placed in suitable locations?	Yes	5	5	Yes.
08.12	Are there any foreign material issues observed that are or could be potential risks to the product in the growing area (e.g jewelry)?	No	5	5	No. Issues were not observed that could be potential risks to the product in the growing area.

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Food Security



Question No.	Audit Question	Given Answer	Given Score	Possible Score	Recommendation	Auditor Comments	Audio/ Picture
09.01	Are measures taken to control vehicle access to the growing area? (specified access roads, furrows, etc)?	No	0	5	http://www.usda.gov/documents/PreHarvestSecurity_final.pdf	No. The vehicle access to the growing area is not restricted.	



09.02	Are postings such as "No Trespassing" and/or "Restricted Entry" used appropriately?	Yes	5	5		Yes.
09.03	Are entrances to ranch-level roads restricted by gates, chains, guard stations, etc.?	No	0	5	http://www.usda.gov/documents/PreHarvestSecurity_final.pdf	No. Entrances to ranch-level roads are not restricted.
09.04	Are wells or other water sources secured and designed to prohibit tampering?	No	0	5	http://www.usda.gov/documents/PreHarvestSecurity_final.pdf	No. The water sources are not secured and designed to prohibit tampering.
09.05	Are grounds and facilities monitored by either in house or contracted personnel?	Yes	5	5		Yes. Grounds and facilities are monitored by in house personnel.
09.06	Are staff members instructed to note and report suspicious activity?	Yes	5	5		Yes. SOP 13-01
09.07	Are high risk areas (e.g. spray equipment, equipment shops, sheds) monitored?	Yes	5	5		Yes. There was documentation for equipment inspection, available for review.
09.08	Is equipment examined for tampering prior to use?	Yes	5	5		Yes. Documents for equipment inspection were available for review.
09.09	Are company supervisors required to undergo documented security training with attendance records?	Yes	5	5		Yes. Training records on security issues were available for review.
09.10	Is education material related to security made available?	Yes	5	5		Yes.

09.11	Are inventory records of agricultural chemicals maintained? If No, go to 9.12	N/A	0	0	N/A. Score is not affected. Agricultural chemicals were not stored on site.
09.11a	Are the inventory records periodically reconciled with inventory?	N/A	0	0	N/A. Score is not affected. Refer to 09.11
09.12	Are all personal materials kept away from the harvest and packaging of the harvesting operation?	Yes	5	5	Yes.

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Audit Scoring Summary		
 Self Inspection	Score	12
	Possible Points	12
	Percent Score	100%
 Traceability	Score	15
	Possible Points	15
	Percent Score	100%
 Ground History	Score	34
	Possible Points	34
	Percent Score	100%
 Adjacent Land Use	Score	50
	Possible Points	50
	Percent Score	100%
 Fertilizer/Crop Nutrition	Score	55
	Possible Points	55
	Percent Score	100%
 Irrigation/Water Use	Score	65
	Possible Points	65
	Percent Score	100%
 Crop Protection	Score	150
	Possible Points	150
	Percent Score	100%

 Employee Hygiene (This applies to on-the-farm staff not the harvesting staff)	Score	232
	Possible Points	232
	Percent Score	100%
 Total	Score	613
	Possible Points	613
	Percent Score	100%

Food Security Scoring Summary			
 Food Security	Score	40	
	Possible Points	55	
	Percent Score	73%	
 Total	Score	40	
	Possible Points	55	
	Percent Score	73%	

Score System For Entire Audit

Percentage/Category
95–100% Superior
85–94% Excellent
73–84% Standard
61–72% Marginal
<60% Unsatisfactory